

Searching for the absolute neutrino mass scale

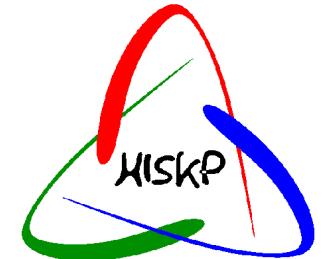


Seesaw25, Paris, 11.06.04

Christian Weinheimer

Helmholtz-Institut für Strahlen- u. Kernphysik, Universität Bonn, Germany

email: weinheimer@hiskp.uni-bonn.de



Search for the neutrino mass scale

Cosmological bounds

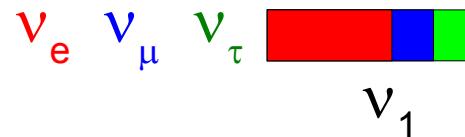
Neutrinoless double β decay

Direct neutrino mass determination

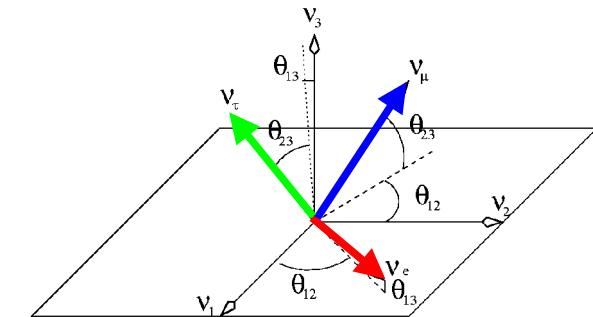
Summary

Absolute ν mass determination

Results of recent experiments: Θ_{23} , Θ_{12} , Δm^2_{23} , Δm^2_{12}

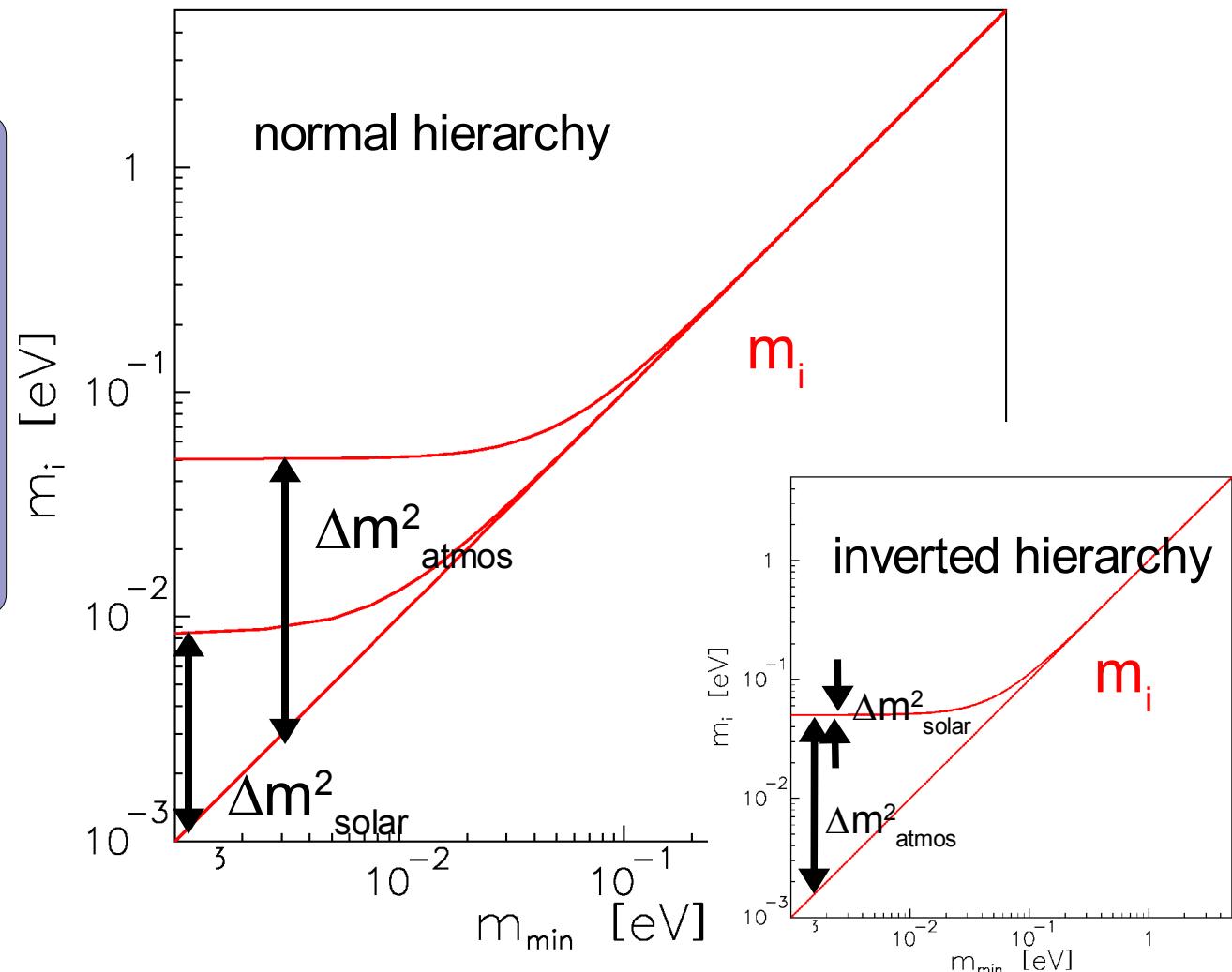


?



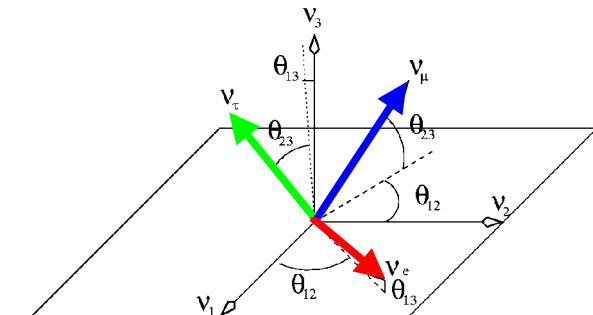
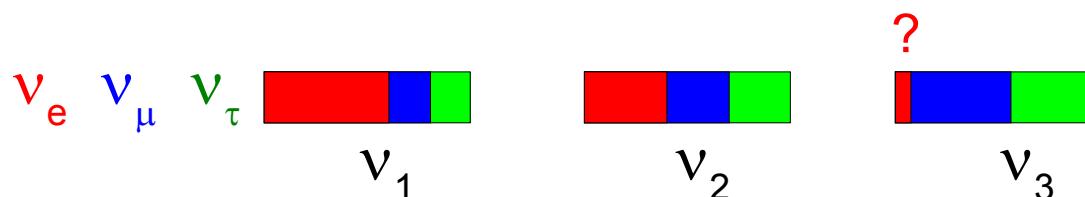
Motivation:

- 1) distinguish hierarchical and degenerate masses
- 2) check cosmological relevance
(ν hot Dark Matter)



Absolute v mass determination

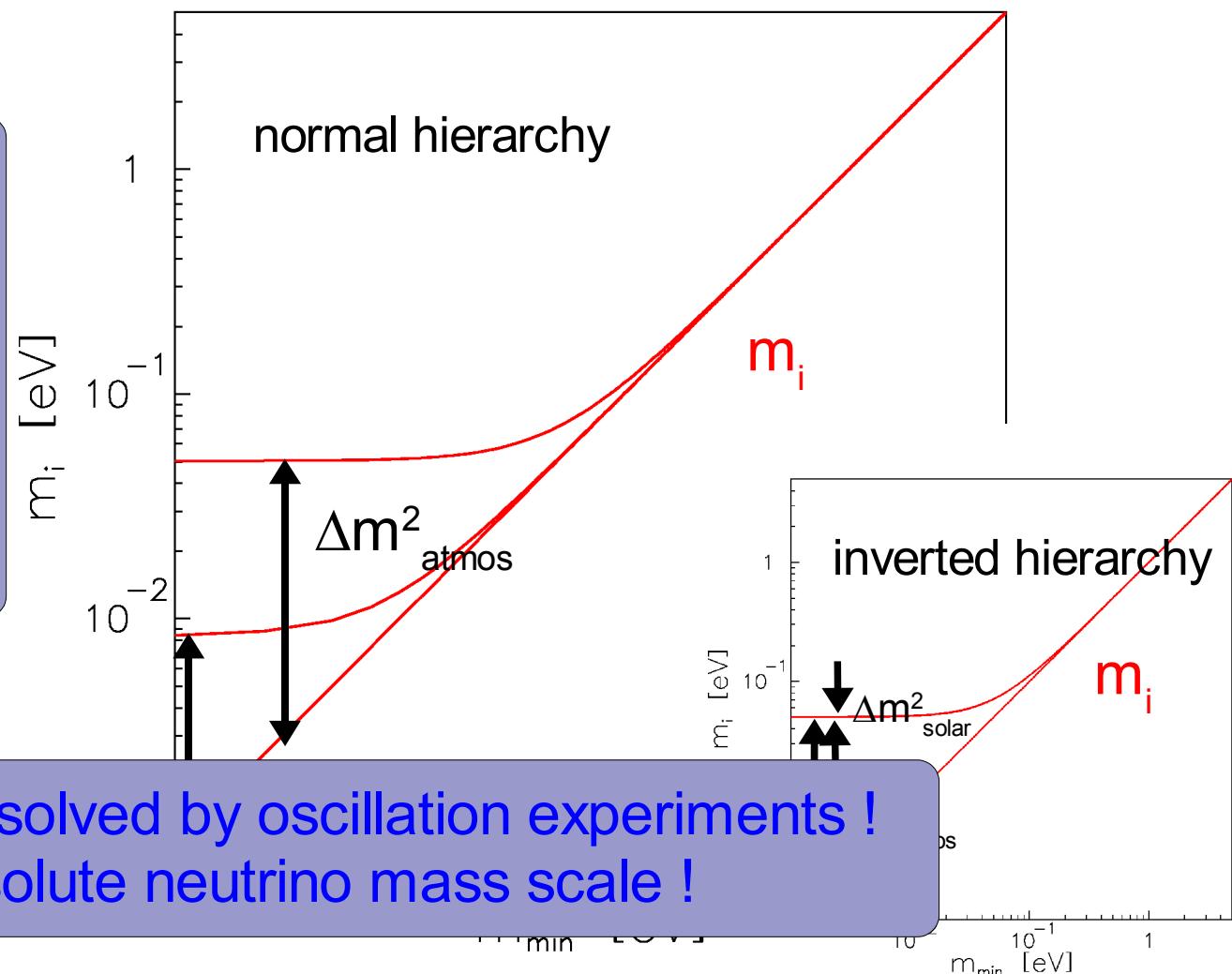
Results of recent experiments: Θ_{23} , Θ_{12} , Δm^2_{23} , Δm^2_{12}



normal hierarchy

Motivation:

- 1) distinguish hierarchical and degenerate masses
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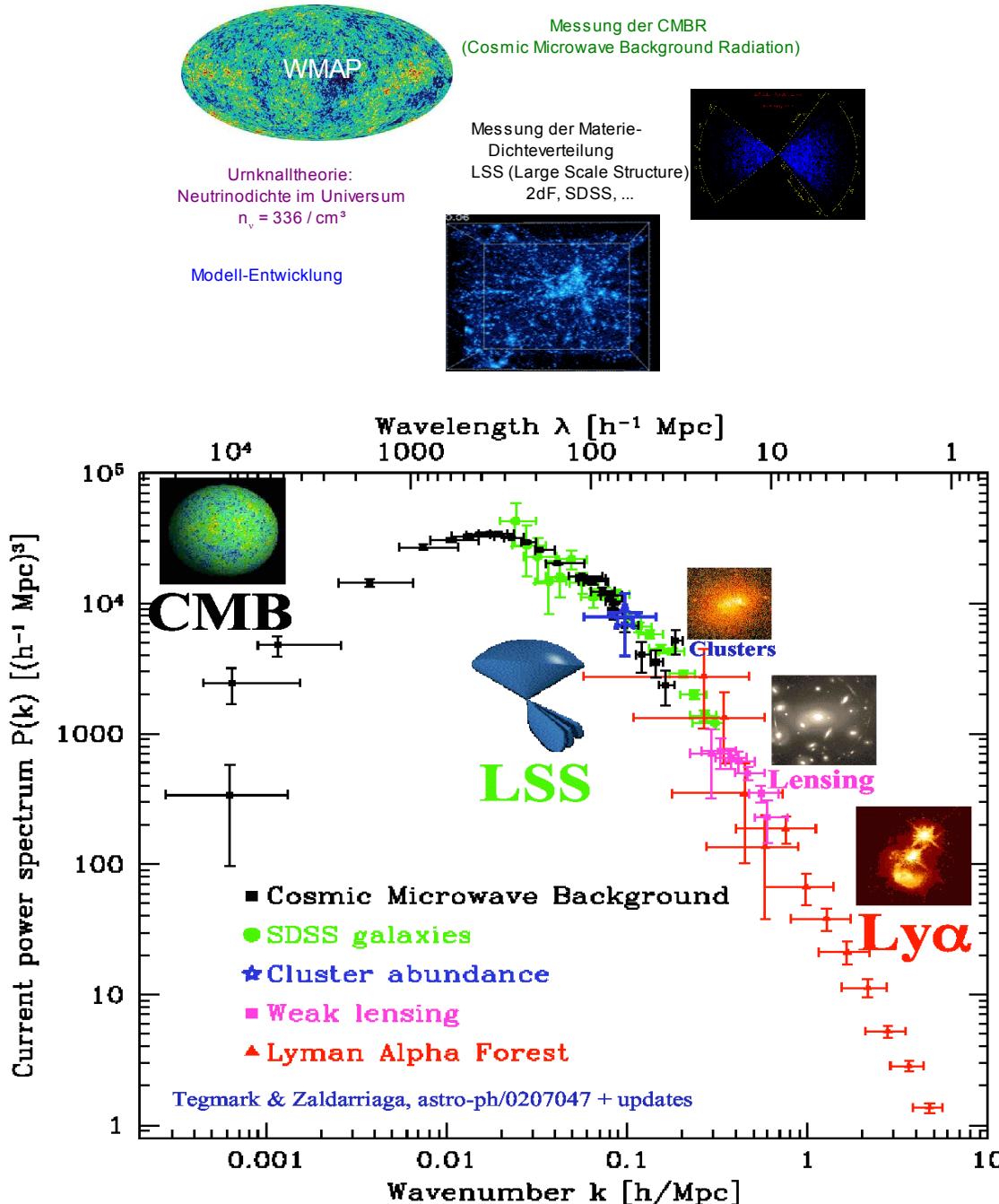


Search for the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent

Neutrino mass from cosmology

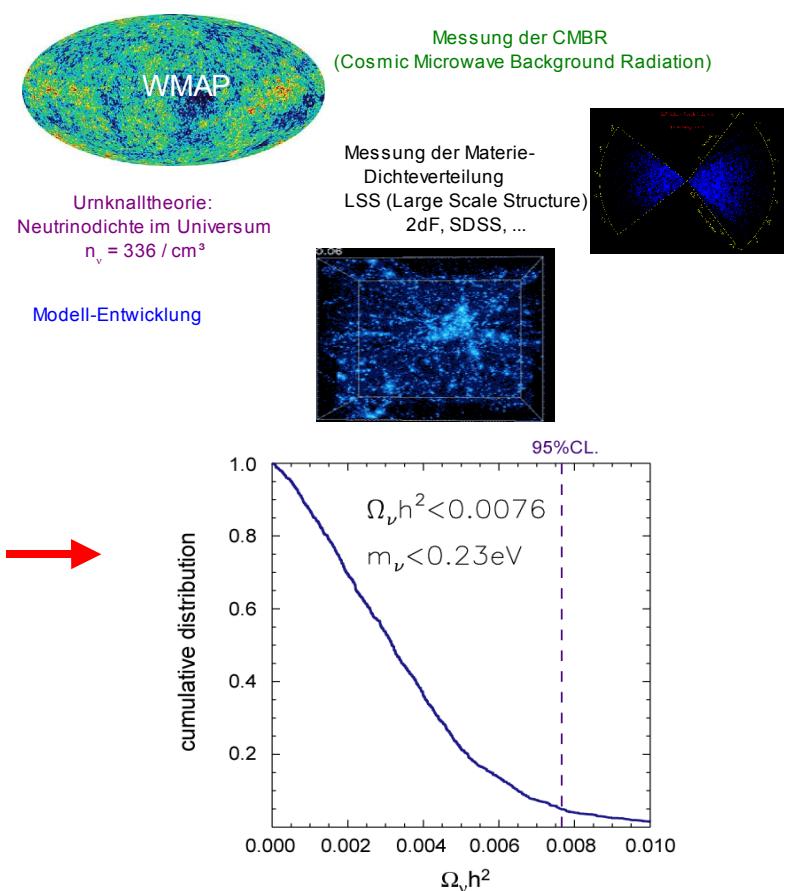


Neutrino mass from cosmology

D.N. Spiegel et al. (WMAP)
(astro-ph/0302209)

WMAP+ACBAR+CBI+2dFGRS +Ly α Daten

$$\sum m(\nu_i) < 0.7 \text{ eV}$$



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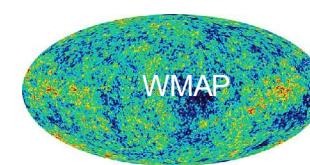
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S. Hannestad et al.

(astro-ph/0303076)

$$\sum m(\nu_i) < 1-2 \text{ eV}$$

same data, more conservative assumptions

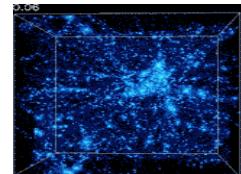
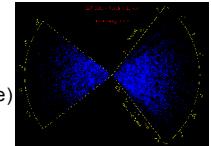


Messung der CMBR
(Cosmic Microwave Background Radiation)

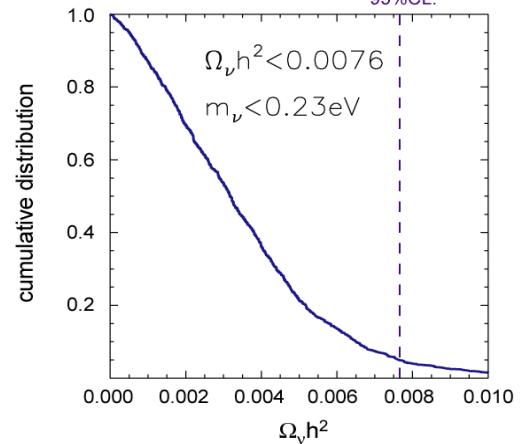
Urnknulltheorie:
Neutrinodichte im Universum
 $n_\nu = 336 / \text{cm}^3$

Modell-Entwicklung

Messung der Materie-
DichteVerteilung
LSS (Large Scale Structure)
2dF, SDSS, ...



95% CL.



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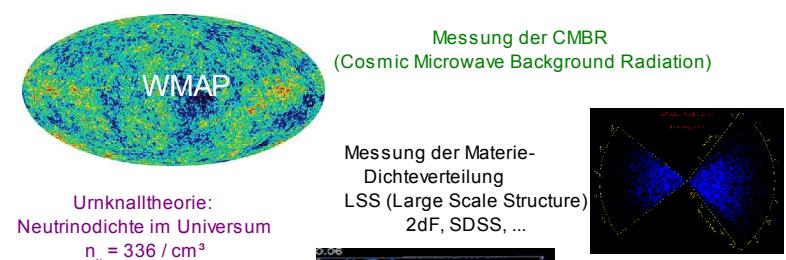
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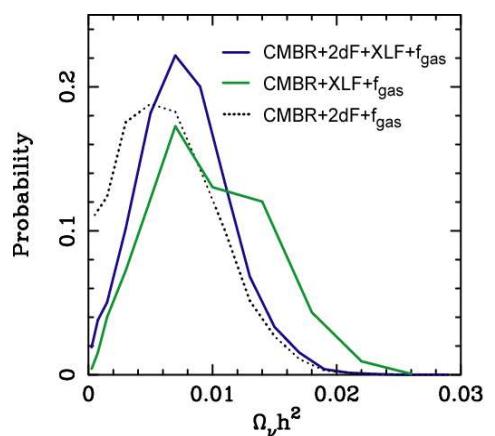
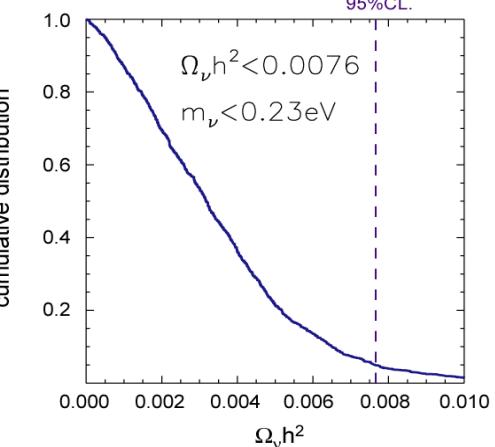
(astro-ph/0306386)

WMAP+2dFGRS

+ f_{gas} +XLF (x-ray cluster data) data



Modell-Entwicklung



Neutrino mass from cosmology

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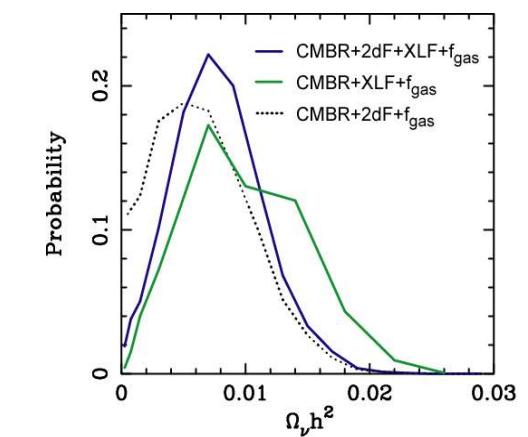
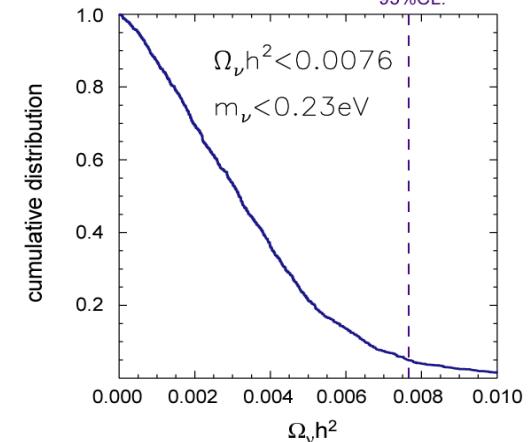
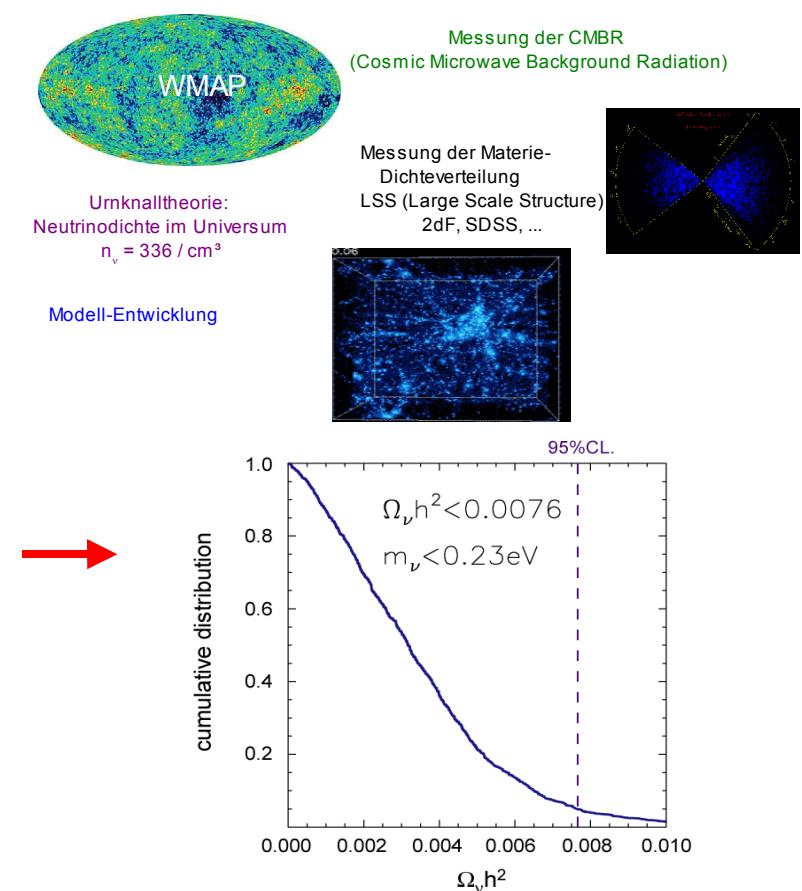
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WMAP+2dFGRS
+ f_{gas} +XLF (x-ray cluster data) data

$$\sum m(\nu_i) < 0.7 \text{ eV}$$


$$\sum m(\nu_i) < 1-2 \text{ eV}$$

$$\sum m(\nu_i) \approx 0.64 \text{ eV}$$

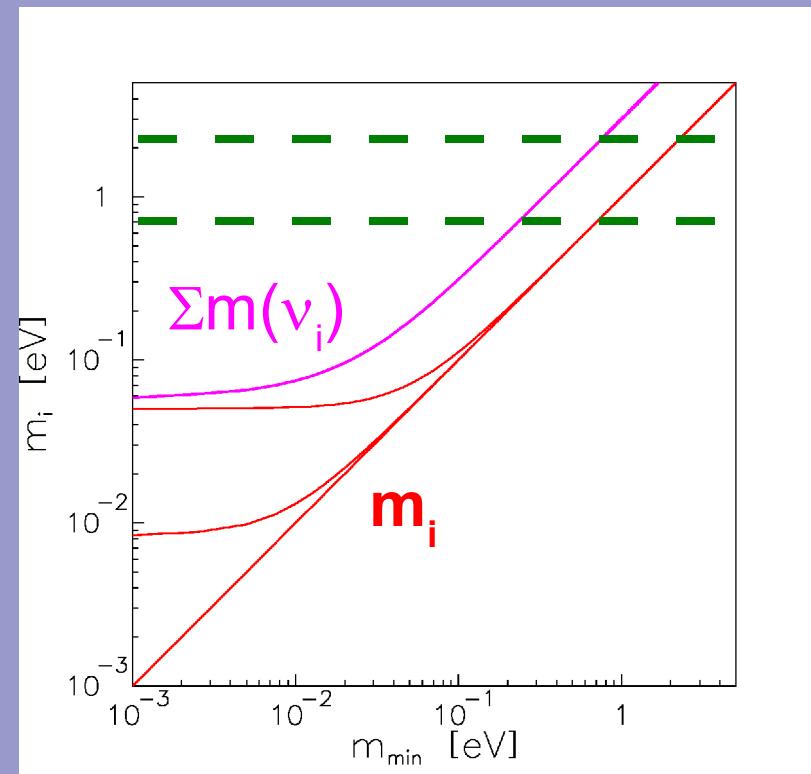
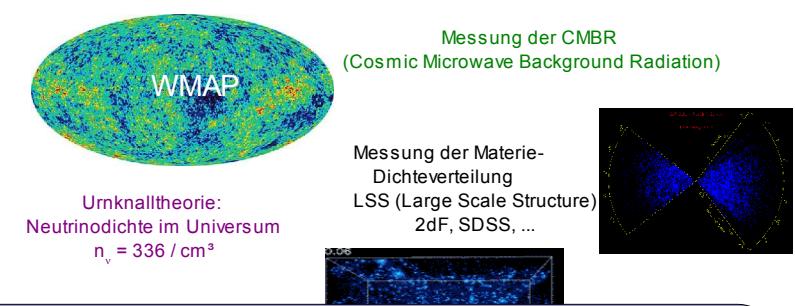



J. Beacom et al. astro-ph/0404585: no upper limit on $\sum m(\nu_i)$ from cosmology
neutrino annihilate into light or massless scalars

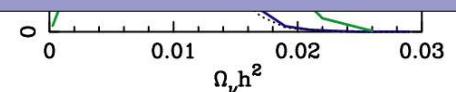
Neutrino mass from cosmology

Conclusions:

- neutrinos: hot Dark Matter
- important for
 - evolution of universe
 - interpretation of LSS + CMB
(correlations with other cosmol. parameters)
- important quantity: $\Sigma m(\nu_i)$
- model dependent limits:
 $\Sigma m(\nu_i) < 0.7 - 2.2 \text{ eV}$ or
 $\Sigma m(\nu_i) > 0$



need laboratory experiment on absolute neutrino mass



Search for the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent

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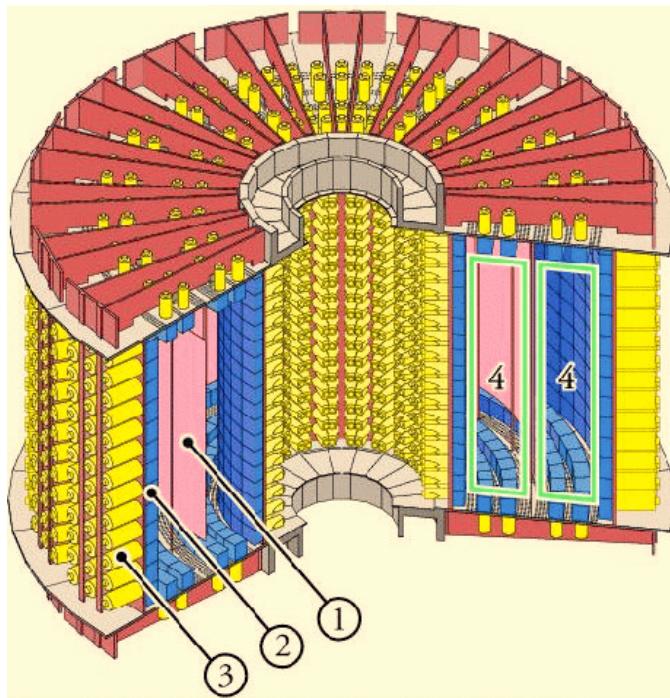
2) Search for $0\nu\beta\beta$

very sensitive, but needs ν to be of Majorana-type

sensitive to coherent sum: $m_{ee}(\nu) = |\sum |U_{ei}|^2| e^{i\alpha(i)} m(\nu_i)|$

\Rightarrow partial cancelation possible

NEMO3 in the Frejus tunnel



Start of data taking: February 2003

- ① 20 sectors: foils with $\beta\beta$ emitters
 - ^{100}Mo (7.2kg), ^{82}Se (1kg),
 - ^{116}Cd (0.4kg), ^{130}Te (0.6kg)
- ② tracking in magnetic field
 - 6180 Geiger cells
- ③ calorimeter: plastic scintillators



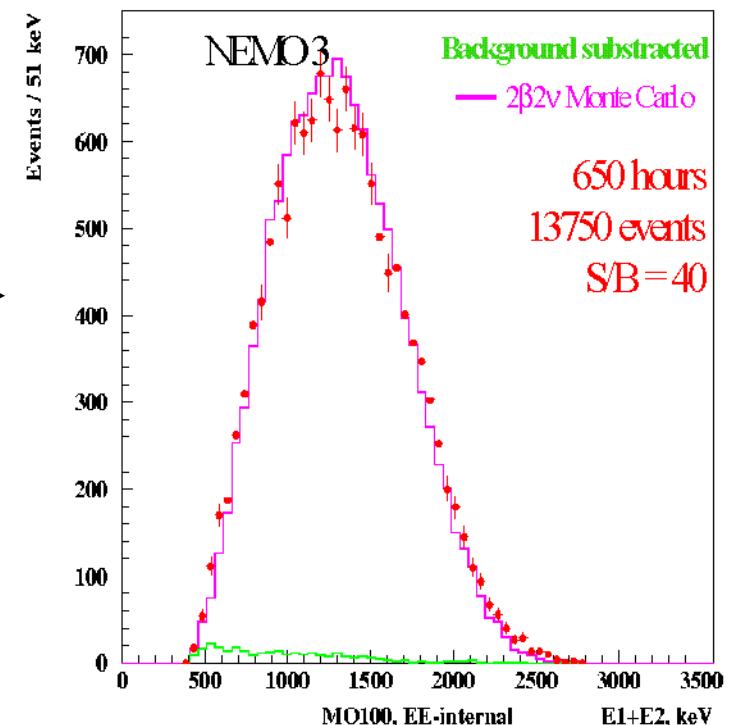
First results on $2\nu\beta\beta$ of ^{100}Mo



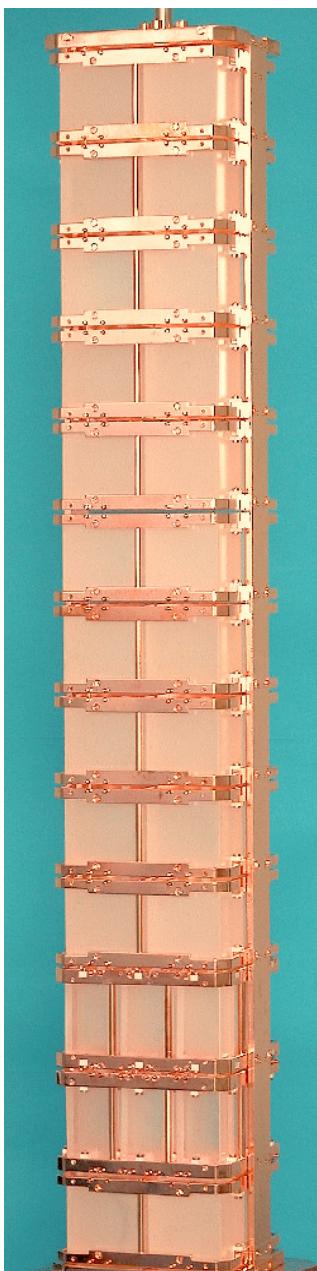
Expected sensitivity on $0\nu\beta\beta$:

^{100}Mo : $m_{ee} < 0.1 - 0.4 \text{ eV}$

^{82}Se : $m_{ee} < 0.6 - 1.2 \text{ eV}$

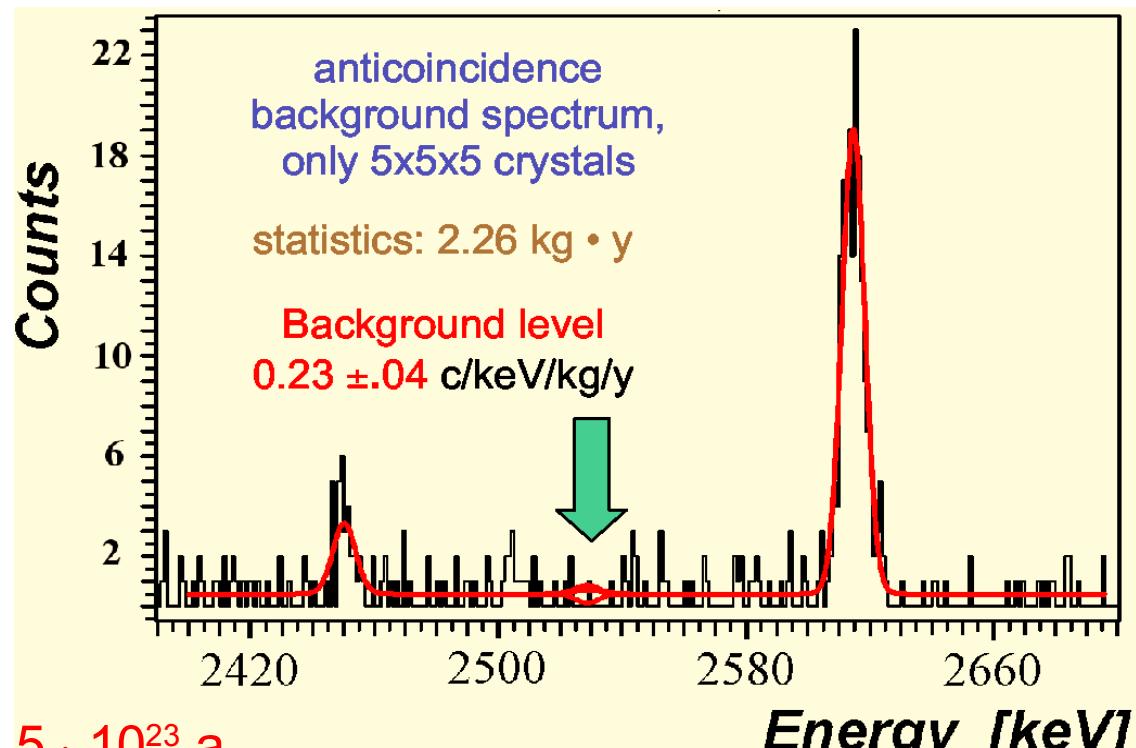


Cuoricino in Gran Sasso



41 kg TeO₂ cryo detectors

data taking since April 2003



$$T_{1/2} > 5.5 \cdot 10^{23} \text{ a}$$

⇒ $m_{ee} < 0.37 - 1.9 \text{ eV}$ (90% C.L.) (PLB584 (2004) 584)

expected in 3 years: $m_{ee} < 0.25 - 0.60 \text{ eV}$

Evidence for $0\nu\beta\beta$ at Heidelberg Moscow Exp.?

Klapdor-Kleingrothaus et al., MPLA 37 (2001) 2409

(s.also comments: hep-ex/0202018, hep-ph/0205228, hep-ph/0205293)

Nearly same data as earlier (54kg y: 8/1990 - 5/2000)

but now assumptions of peaks in [2000,2080] keV:

⇒ background level is lower

fit only [2032,2046] keV with background and peak

⇒ peak at $0\nu\beta\beta$ signal position (2039 keV)

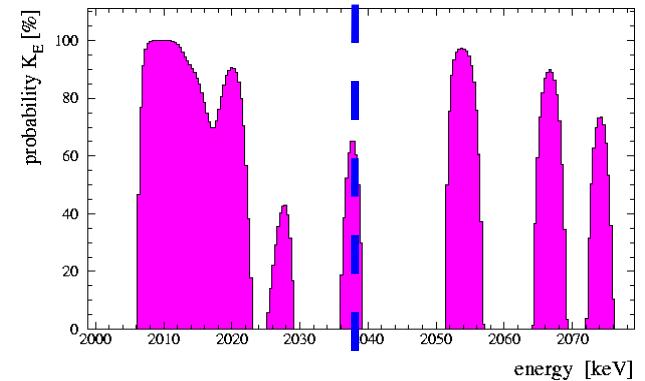
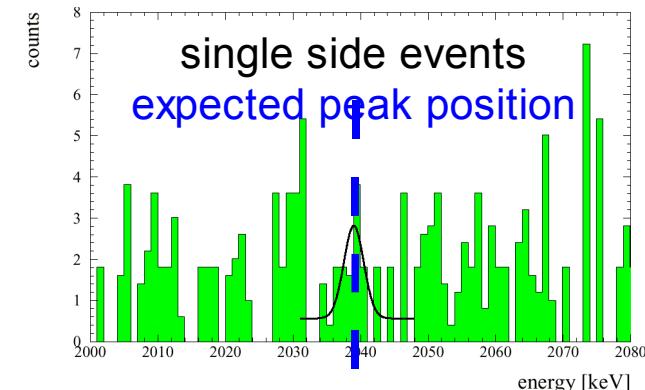
$$\Rightarrow T_{1/2}^{0\nu} = (0.8 - 18.3) \cdot 10^{25} \text{ y}$$

$$\Rightarrow m_{ee} = (0.11 - 0.56) \text{ eV}$$

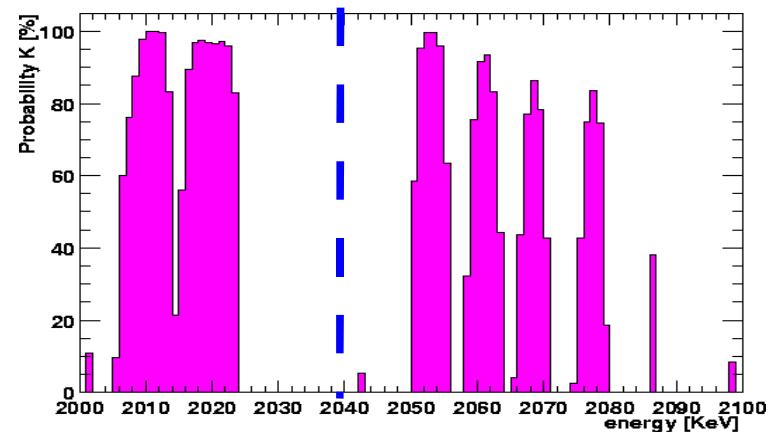
$$\Rightarrow m(\nu_e) = (0.05 - 3.4) \text{ eV}$$

⇒ (fast) degenerierte ν ?
(jeweils 95 % C.L.)

Peak search
Hd Moscow



Peak search
UCBS
(non-enriched Ge)
all peaks except
signal?



Evidence for $0\nu\beta\beta$ at Heidelberg Moscow Exp.?

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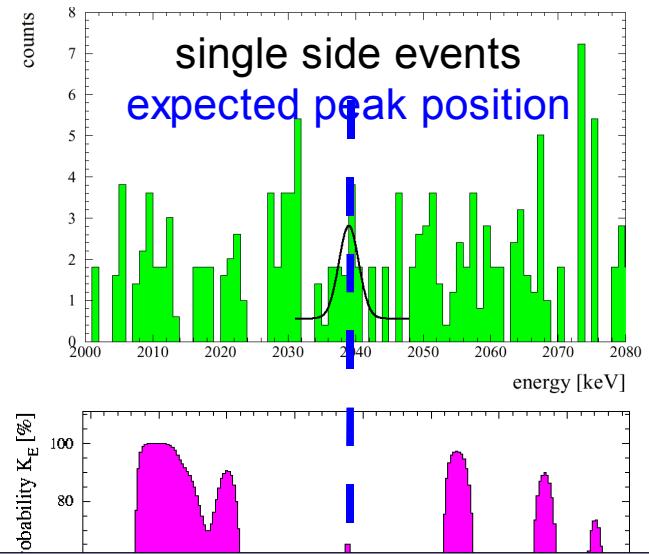
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Peak search
Heidelberg Moscow

New, data up to 2003: 72 kg,

with new data selection, new calibration

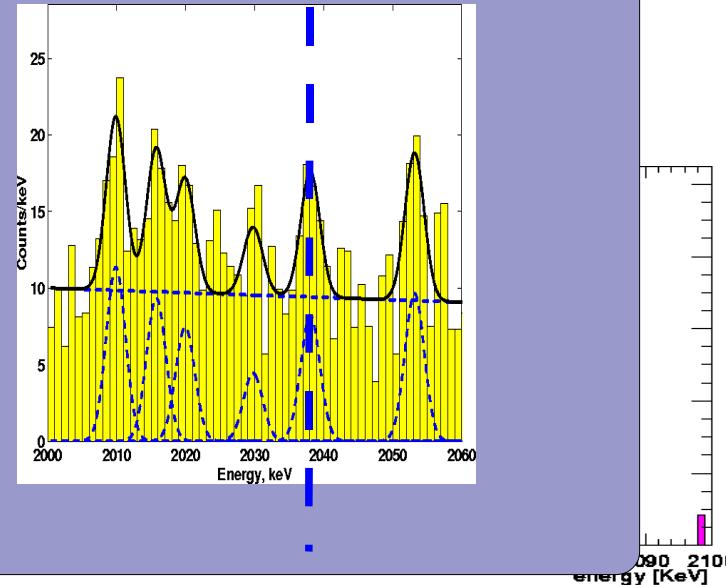
Klapdor-Kleingrothaus et al., PL B586 (2004) 198

⇒ Peak at 2038.1(5) keV (expected: 2039.006(50) keV)

Multi-Gauss. Fit: 4.2 σ significance for $0\nu\beta\beta$,

$$T_{1/2}^{0\nu} = (0.34-20.3) \cdot 10^{25} \text{ y}$$

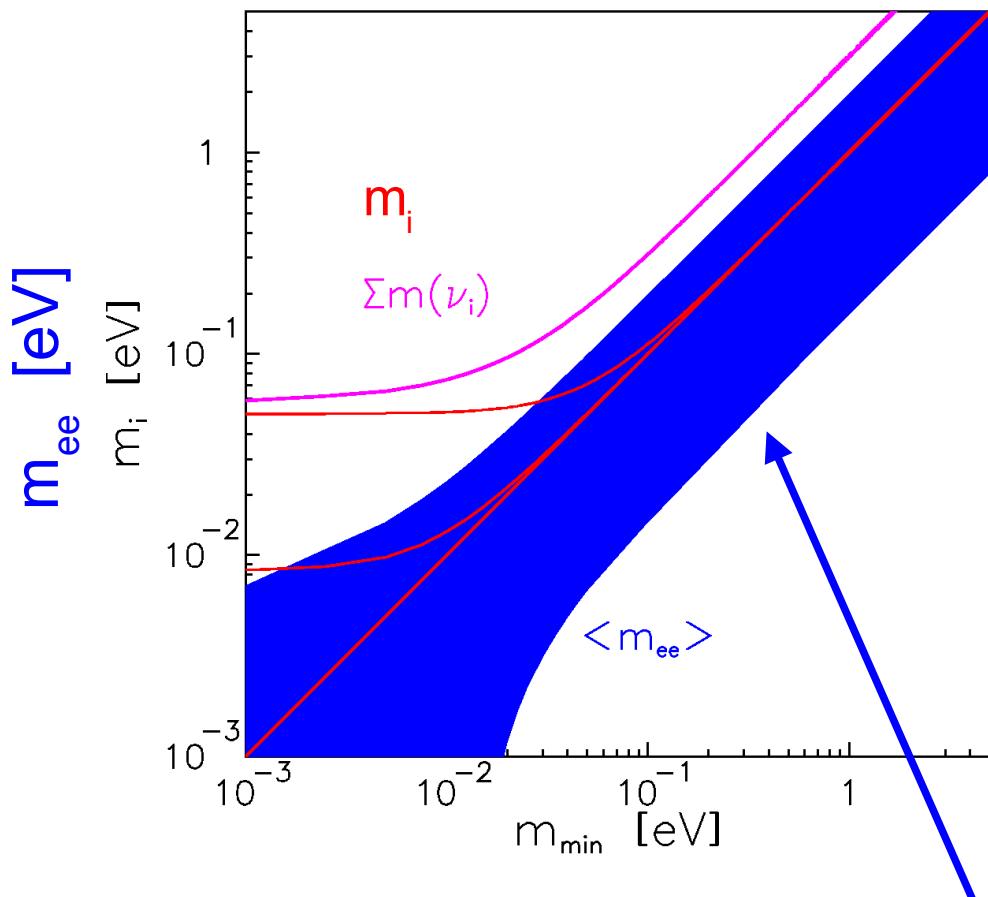
⇒ $m_{ee} = 0.1-0.9 \text{ eV}$ (99.7% C.L., incl. uncertainty of M_{nucl})



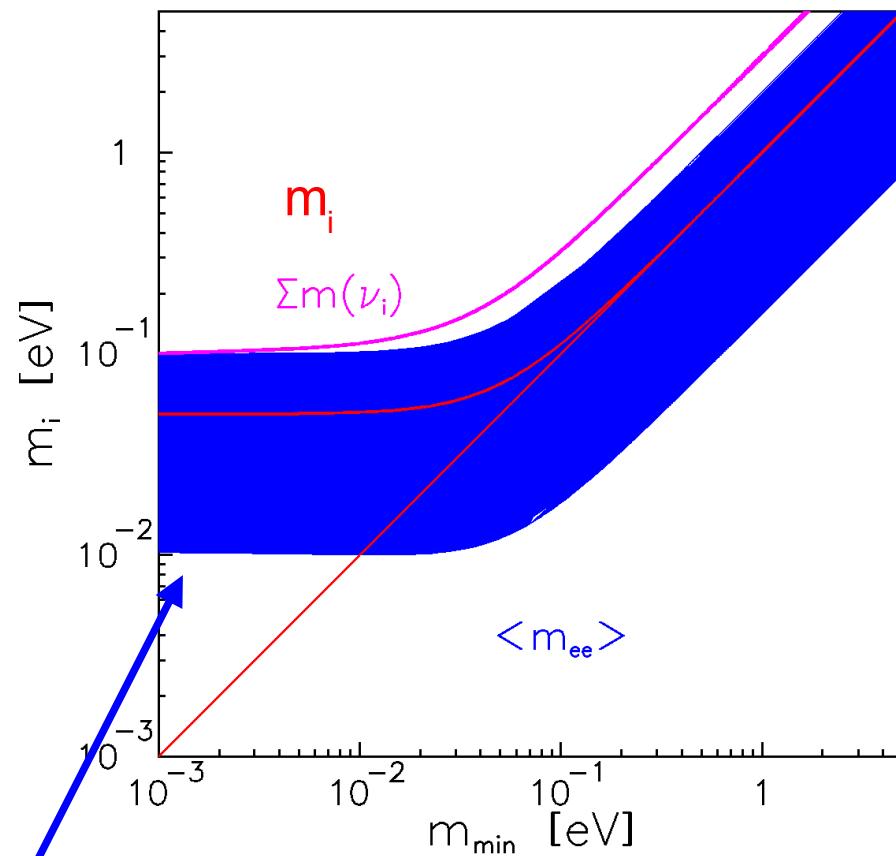
Neutrinoless double β decay and m_ν

Observable: $\langle m_\nu \rangle = \langle m_{ee} \rangle = |\sum |U_{ei}|^2 e^{i\phi(i)} m(\nu_i)|$

normal hierarchy



inverted hierarchy

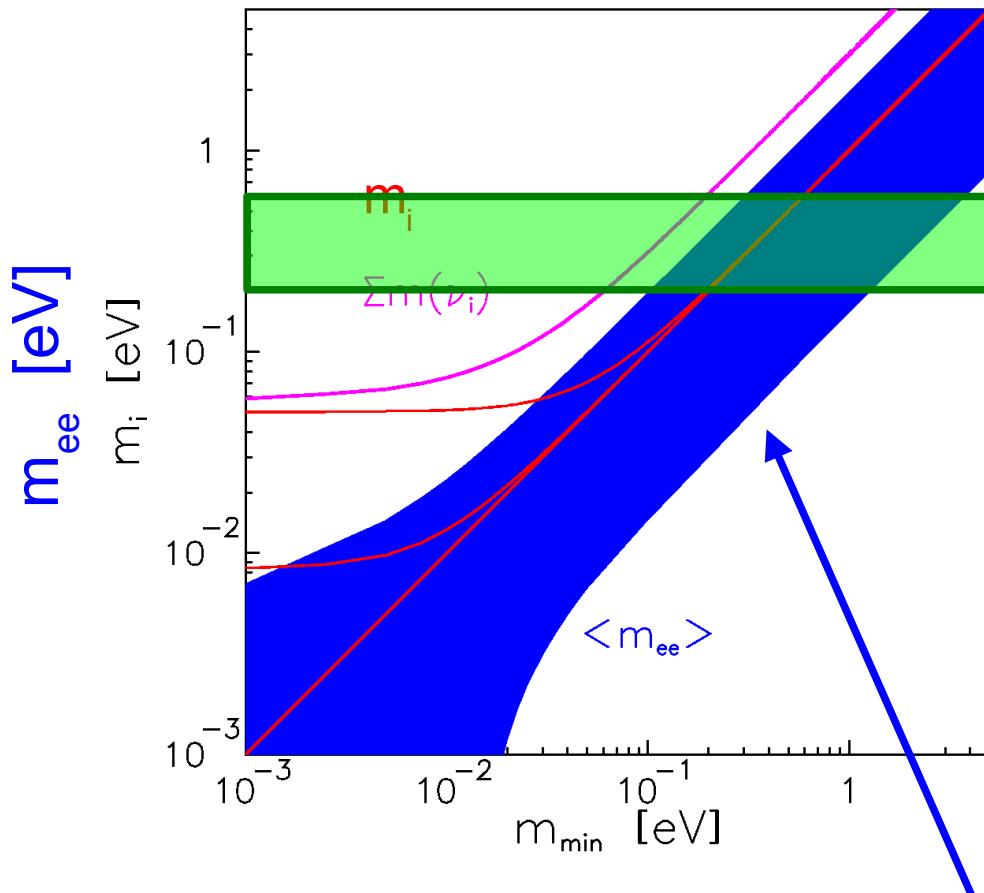


Uncertainty: phases $e^{i\phi(i)} = \pm 1$, mixing U ($\Theta_{13} < 13^\circ$), matrix element $\Delta M_{\text{Kern}} / M_{\text{Kern}} = \pm 2$
 \Rightarrow factor of 10 uncertainty for $\sum m(\nu_i)$

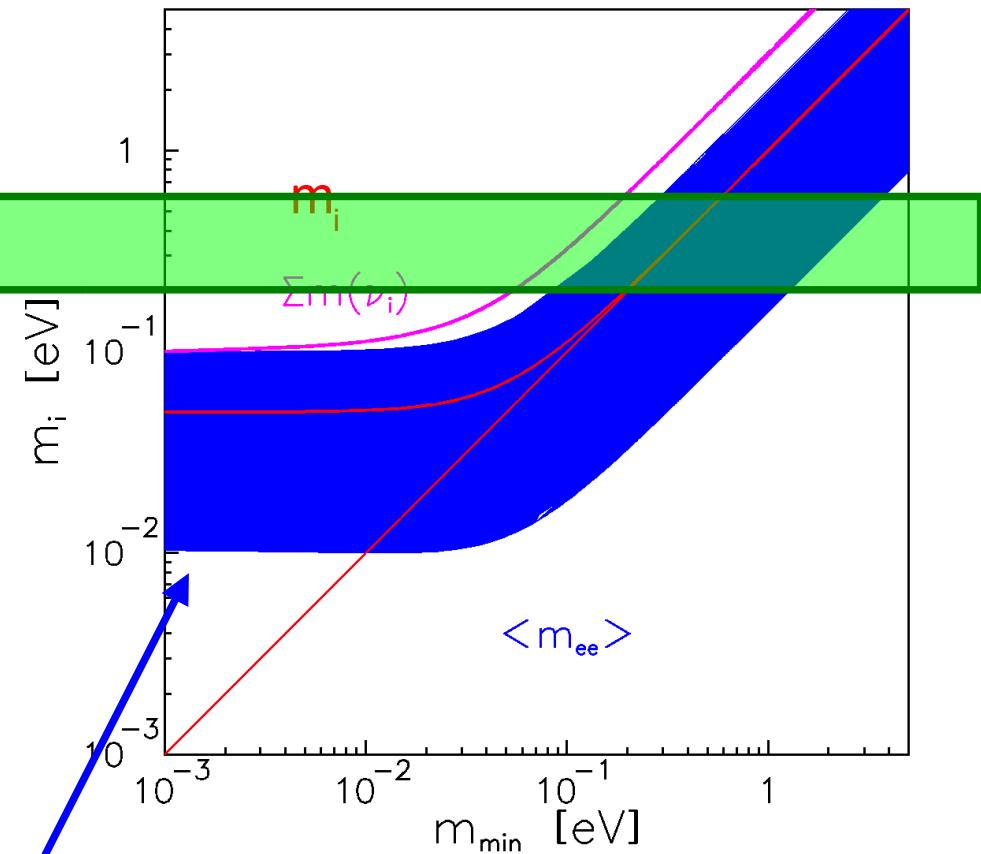
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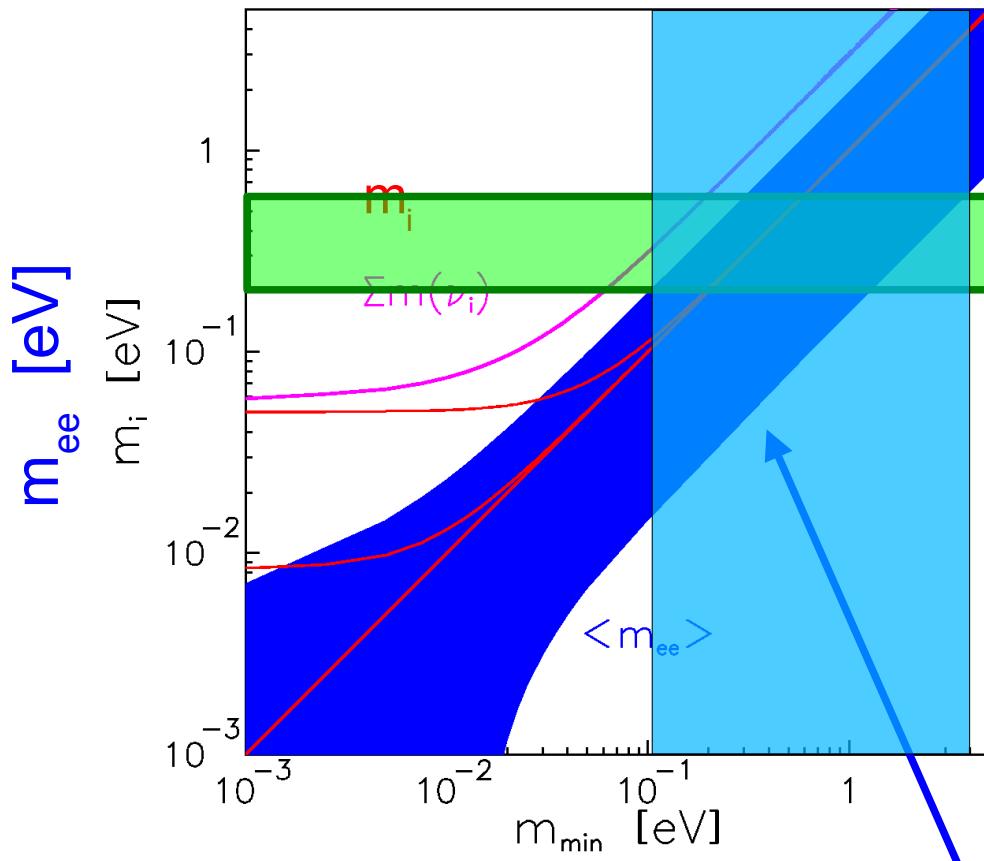
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plots following F.Feruglio et al., Nucl. Phys. B637 (2002) 345

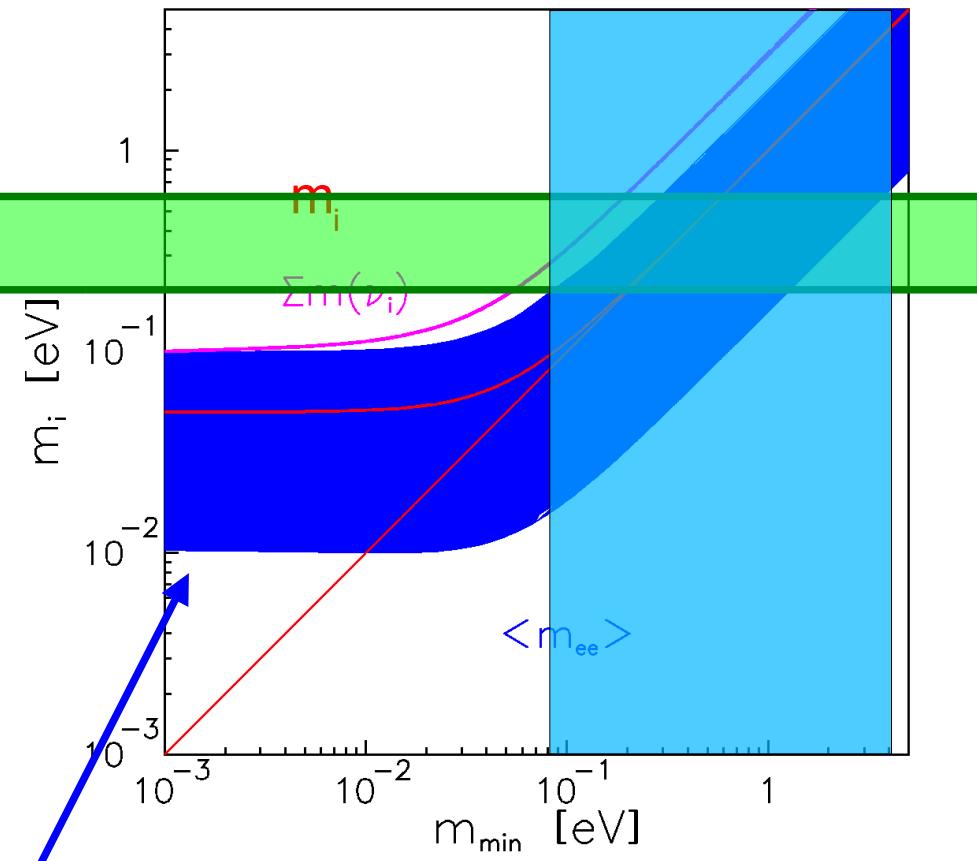
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Future 0νββ projects

$$m_{ee} \sim (1/\text{enrichment})^{1/2} \cdot (\Delta E \cdot \text{bg}/M \cdot t)^{1/4}$$

⇒ mass ≈ 1t, high enrichment, very low background

- GENIUS/New ^{86}Ge ββ exp. at Gran Sasso
 ^{76}Ge , 1t, 86% enriched
cryo liquid active shielding, GTF started
- EXO
 ^{136}Xe , 10t, 75% enriched
TPC, optical detection of barium ions
- Majorana
 ^{76}Ge , 0.5t, 86% enriched
segmented HPGe diodes with PSA
prototype under development
- CUORE
 ^{130}Te , 760 kg, 34% natural or enriched
 TeO_2 cryo detectors
- MOON (Japan, USA, Rußland)
 ^{100}Mo , 3.3t, 85% enriched
foils between tracking detectors and calorimeters
- many more proposals
e.g. Cobra

These experiments expect large background improvements
expected sensitivity on m_{ee} : 10 - 100 meV

Search for the absolute neutrino mass scale

1) Cosmology

very sensitive, but model dependent

2) Search for $0\nu\beta\beta$

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sensitive to coherent sum: $m_{ee}(\nu) = |\sum |U_{ei}|^2 e^{i\alpha(i)} m(\nu_i)|$

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3) Direct neutrino mass determination:

No further assumptions needed

use $E^2 = p^2c^2 + m^2c^4 \Rightarrow m^2(\nu)$ is observable mostly

- **Time-of-flight measurements** (ν from supernova)
SN1987a (large Magellan cloud) $\Rightarrow m(\nu_e) < 23$ eV (PDG 2002)

- **Kinematics of weak decays**

measure charged decay products, use energy/momentum conservation $\Rightarrow m^2(\nu)$

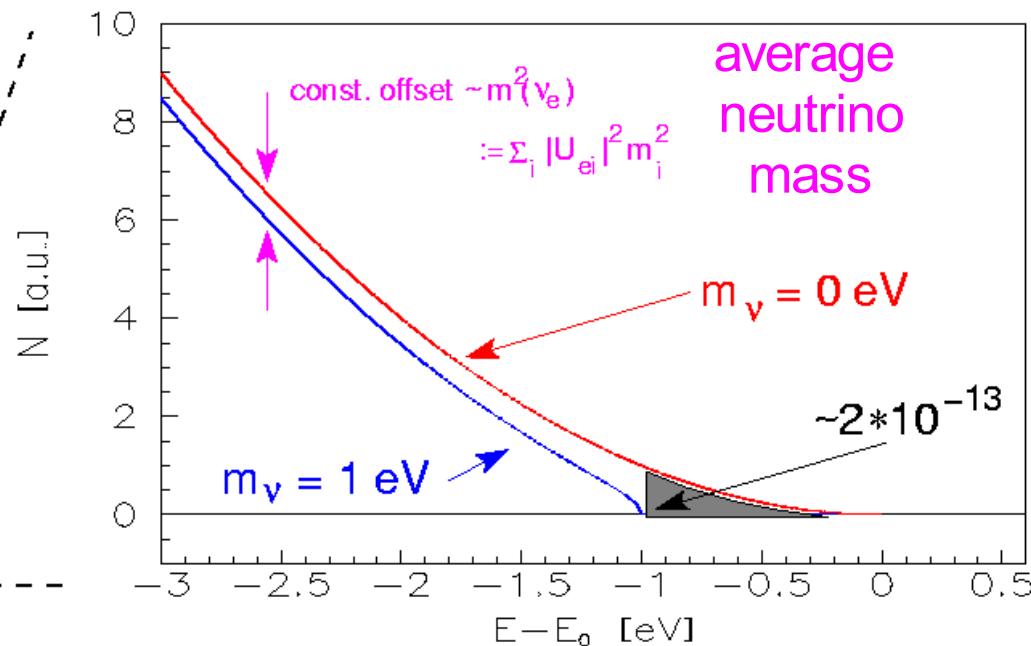
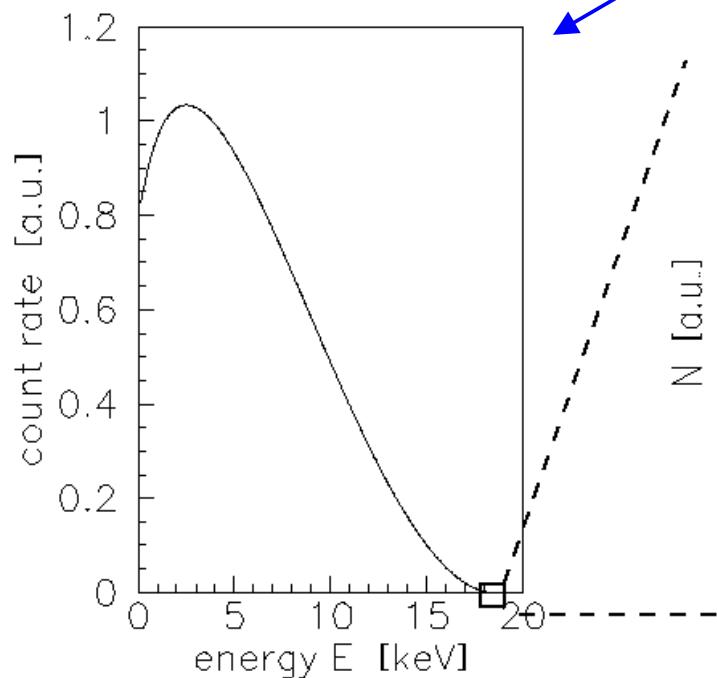
β -decay searches for $m(\nu_e)$

- tritium β decay spectrometers
- ^{187}Re bolometers

Direct Determination of $m(\nu_e)$

tritium β decay: ${}^3\text{H} \rightarrow {}^3\text{He}^+ + e^- + \bar{\nu}_e$

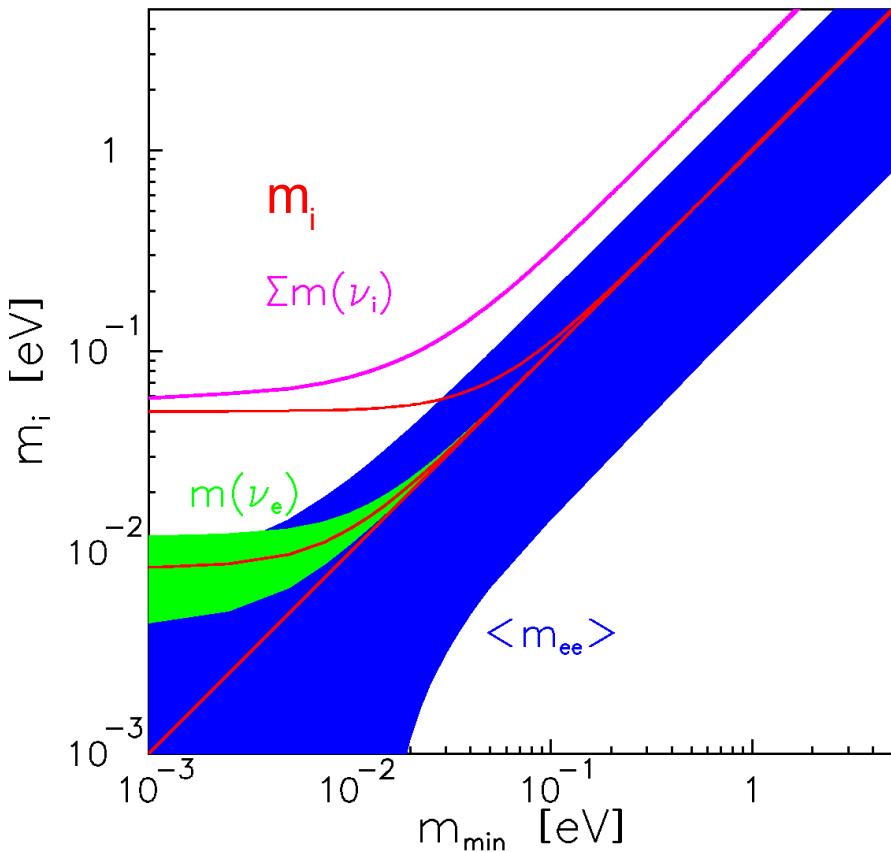
super allowed
 $E_0 = 18.6 \text{ keV}$
 $t_{1/2} = 12.3 \text{ a}$



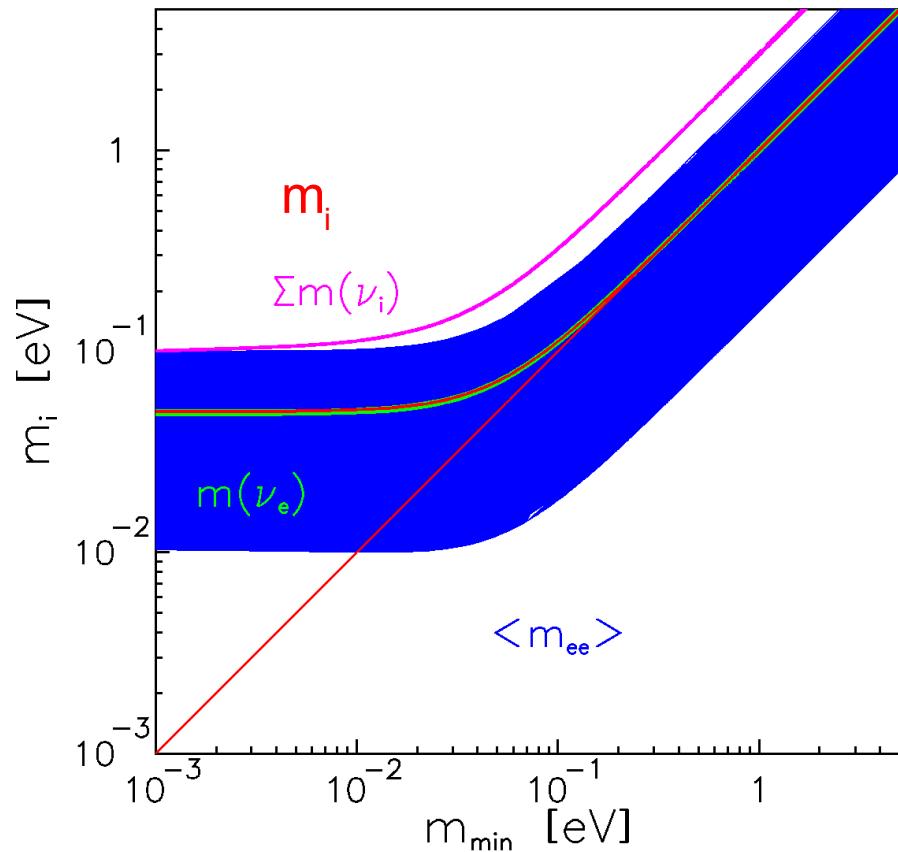
Need: **very high energy resolution &
very high luminosity &
very low background** } \Rightarrow **MAC-E-Filter
(or bolometer for ${}^{187}\text{Re}$)**

β decay compared to $0\nu\beta\beta$

normal hierarchy



inverted hierarchy



- β decay yields:
 $m^2(\nu_e) := \sum |U_{ei}|^2 \cdot m^2(\nu_i)$, which determines very precisely $\Sigma m(\nu_i)$
- $0\nu\beta\beta$ experiments might be more sensitive, but they cannot determine $\Sigma m(\nu_i)$ so well
- $m(\nu_e)$ and m_{ee} are complementary observables

Cryo bolometer experiments with ^{187}Re

Multiple purpose, scalable new detector technology

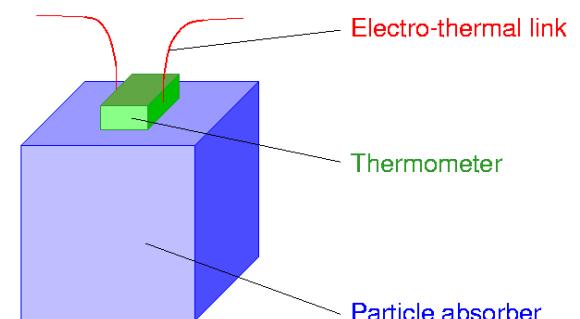
basic idea:

⇒ single final state:

β emitting crystal = cryodetector
detection of total energy except ν

Choice of β emitter:

^{187}Re : $E_0 = 2.5 \text{ keV}$ ($t_{1/2} = 5 \cdot 10^{10} \text{ y}$)

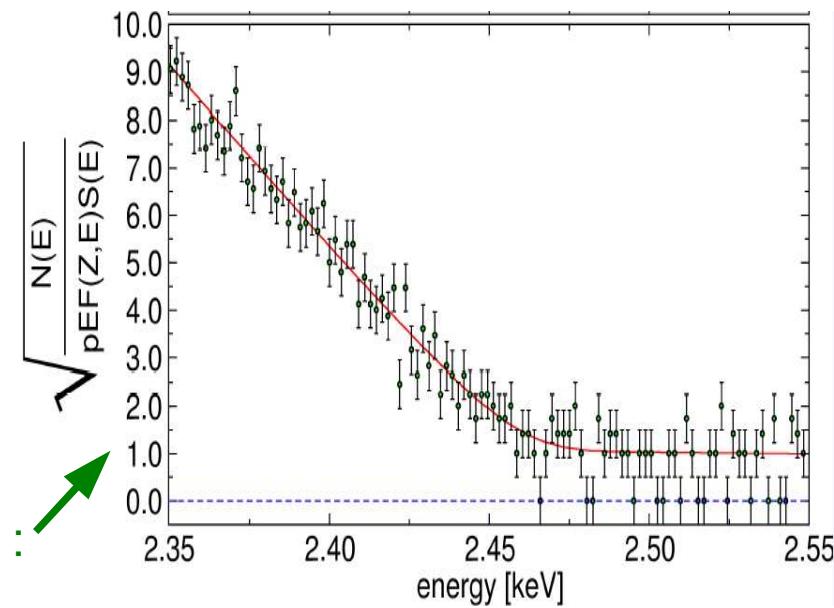


MANU2 (F. Gatti et al., Genua)

- Re metallic crystal (1.5 mg)
- BEFS observed (F.Gatti et al., Nature 397 (1999) 137)
- sensitivity:

now: $m(\nu) < 26 \text{ eV}$ (.F.Gatti, Nucl. Phys. B91 (2001) 293)

future: eV resolution by s.c. transition sensors.
(now typically: $\Delta E = 30 \text{ eV}$)



MiBeta (E. Fiorini et al., Mailand,Como)

- AgReO_4 (10 * 250 -350 mg)
- Final result of Mibeta after 1 year data taking with 10 detectors :
(M. Sisti et al., NIMA520 (2004) 125)

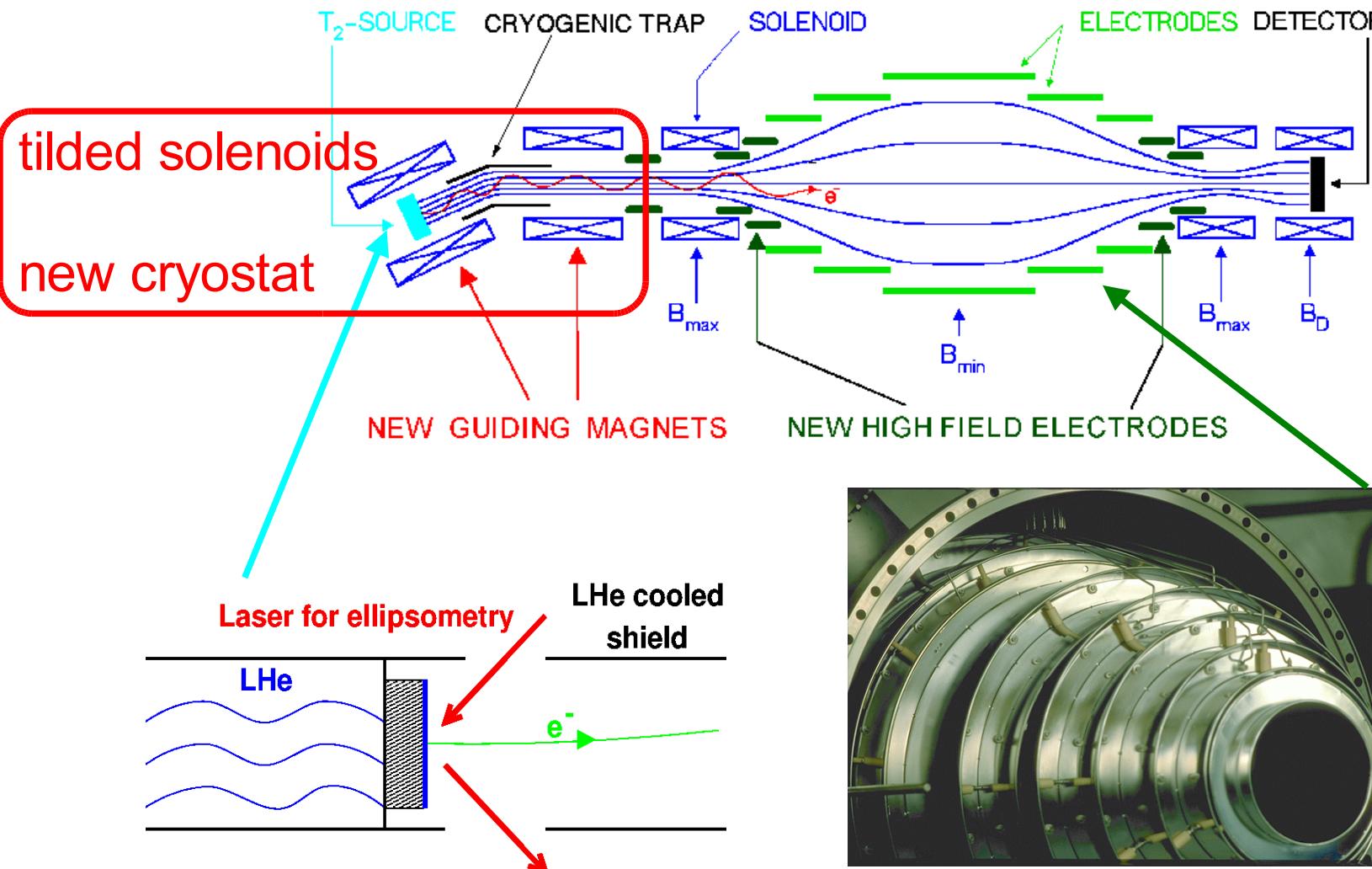
$$m_\nu^2 = -112 \pm 207 \pm 90 \text{ eV}^2 \Rightarrow m_\nu < 15 \text{ eV (90%CL)}$$

β environmental fine structure (BEFS) seen

Future: sensitivity 1 - 10 eV expected

need: better resolution + large arrays

The Mainz Neutrino Mass Experiment 1997-2001



Mainzer
 ν -Gruppe
2001:

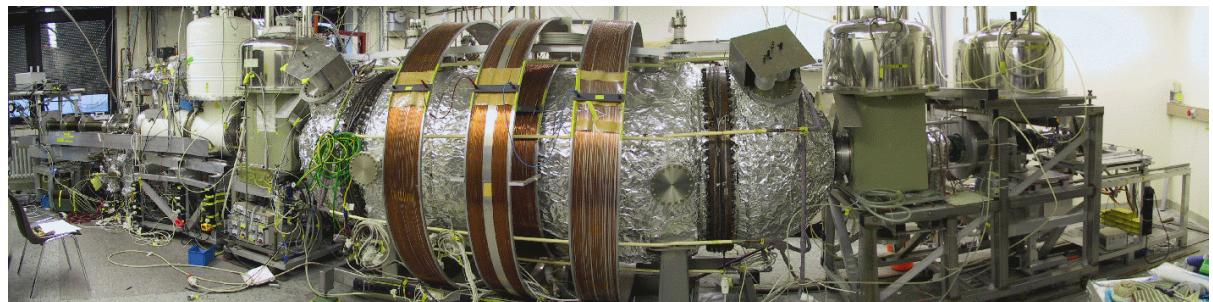
J. Bonn
B. Bornschein*
L. Bornschein*
B. Flatt
Ch. Kraus
B. Müller**
E.W. Otten
J.P. Schall
Th. Thümmler**
Ch. Weinheimer**

* → FZ Karlsruhe

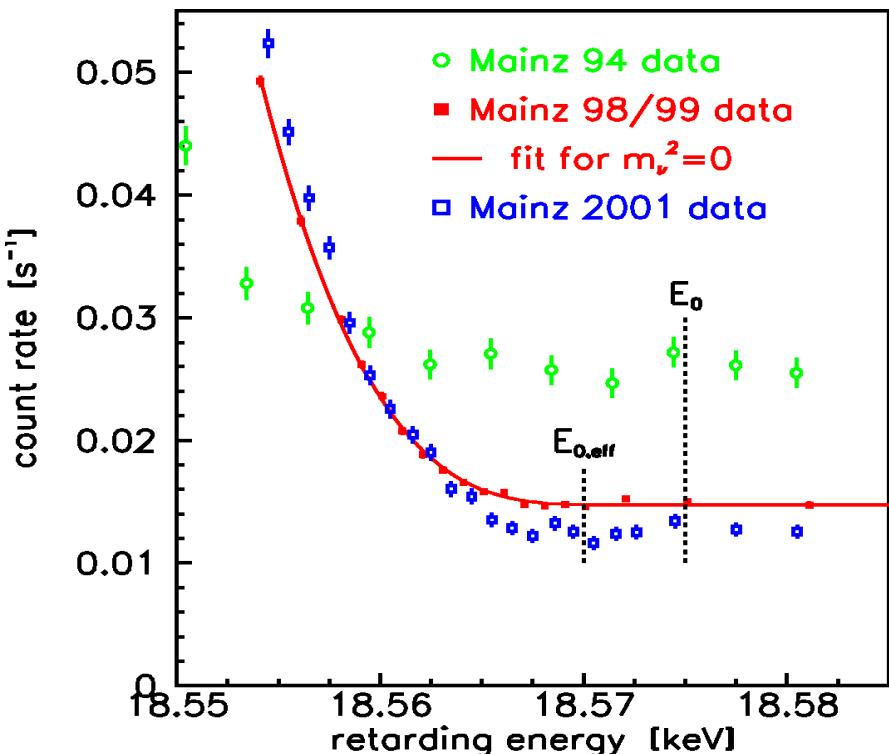
** → Univ. Bonn



- T_2 film at 1.86 K
- quench-condensed on graphite (HOPG)
- 45 nm thick ($\approx 130 \text{ML}$), area 2cm^2
- thickness determination by ellipsometry



Final Mainz result



Improvement of S/Bg by factor 10

Longterm measurements in 1998,1999,2001
(analysed: $\Sigma t = 20$ weeks)

Stable background: HF pulses on electrode
inbetween single measurements of 20s

Using neighbour excitation from calculation (Kolos et al., Phys. Rev. A37 (1988) 2297)

$$m^2(v) = -1.2 \pm 2.2 \pm 2.1 \text{ eV}^2 \Rightarrow m(v) < 2.2 \text{ eV (95% C.L.)}$$

Ch. Weinheimer, Nucl. Phys. B (Proc. Suppl.) 118 (2003) 279, C. Kraus et al., Nucl. Phys. B (Proc. Suppl.) 118 (2003) 482

Neighbour excitation amplitude from own tritium β spectrum

$$m^2(v) = -0.7 \pm 2.2 \pm 2.1 \text{ eV}^2 \Rightarrow m(v) < 2.3 \text{ eV (95% C.L.)}$$

C. Kraus, EPS HEP03, Aachen, July 2003

The Karlsruhe Tritium Neutrino experiment KATRIN



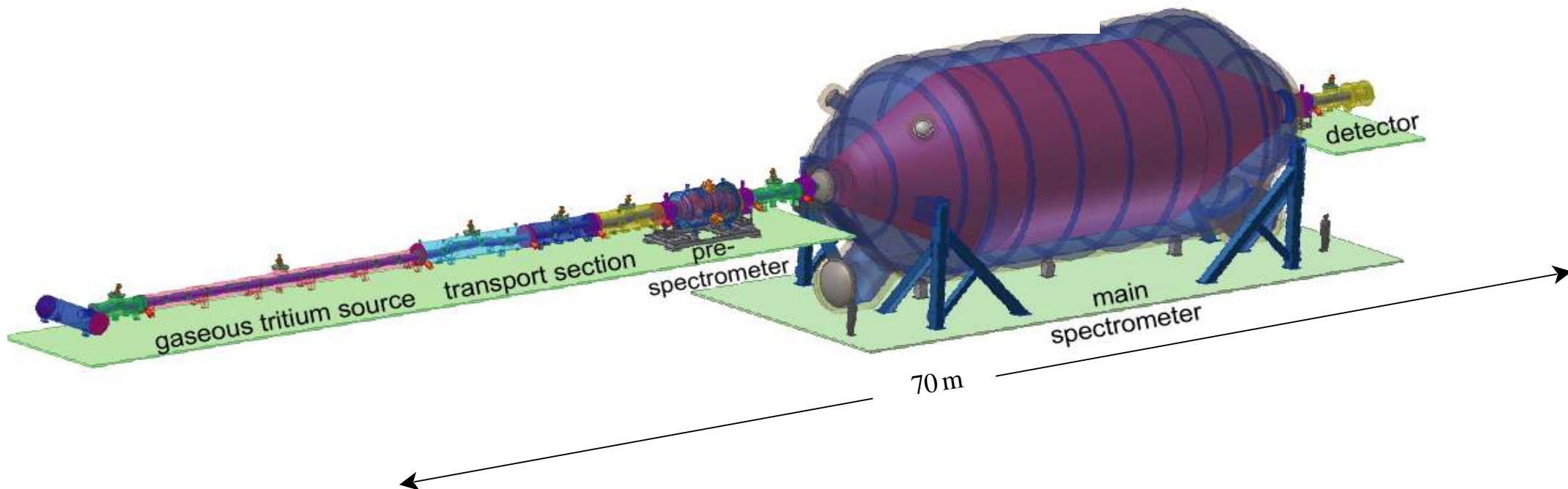
(Letter of Intent: hep-ex/0109033)



Physics Aim:

Sensitivity on neutrino mass scale: $m(\nu) \ll 1\text{eV}$

- higher energy resolution: $\Delta E \approx 1\text{eV}$
since $E/\Delta E \sim A_{\text{spectrometer}}$ \Rightarrow larger spectrometer
 - relevant region below endpoint becomes smaller
even less count rate $dN/dt \sim A_{\text{spectrometer}}$ \Rightarrow larger spectrometer
- new, since 12/2002*
- $\emptyset 10\text{m}$

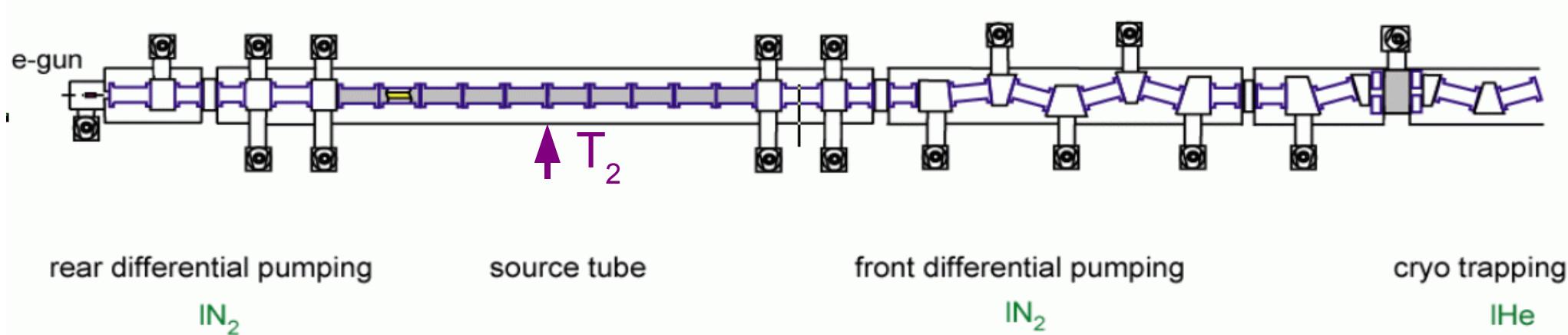


Molecular tritium sources

Standard source:

Windowless Gaseous Tritium Source

WGTS



WGTS: $\varnothing 9\text{cm}$, length: 10m, $T = 30\text{ K}$

allows to measure with near to maximum count rate using $\rho d = 5 \cdot 10^{17}/\text{cm}^2$

with small systematics

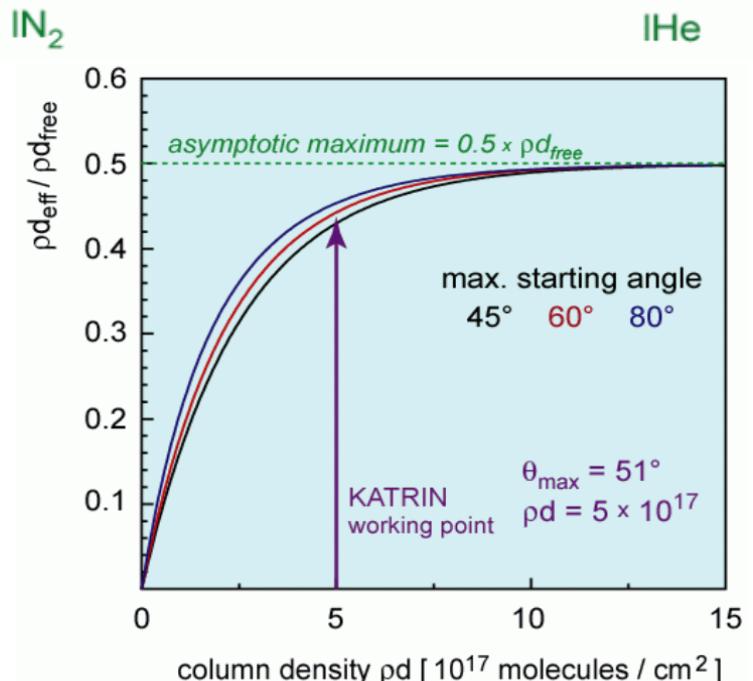
QCTS: $\varnothing 8\text{cm}$, $T=1.6\text{ K}$, $d = 35\text{ nm}$

presently limited by self-charging

Alternative Source:

Quench Condensed Tritium Source

QCTS

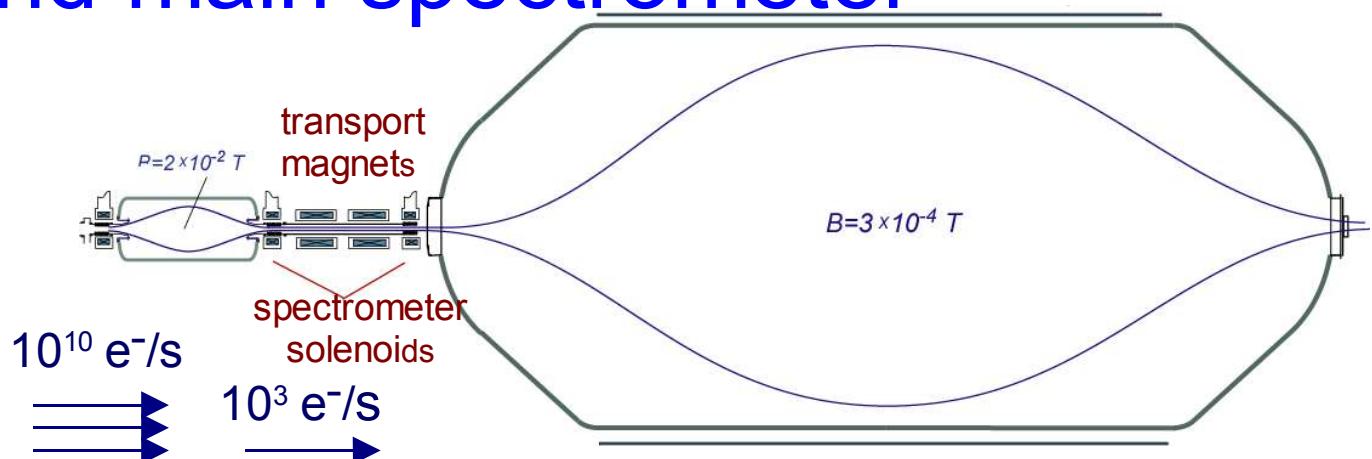




Pre and main spectrometer

Main spectrometer

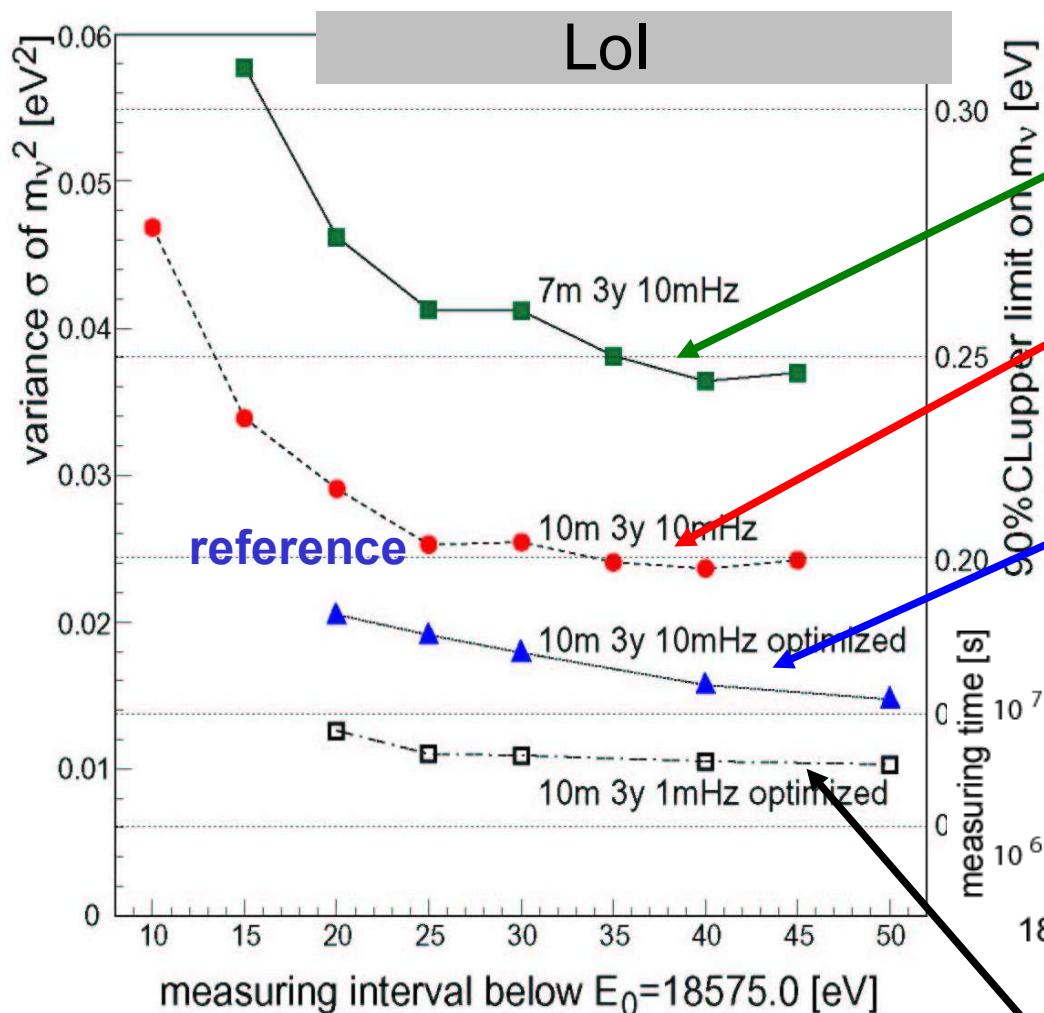
- Energy resolution:
 $\Delta E = 0.93 \text{ eV}$
- high luminosity:
 $L = A_{\text{eff}} \Delta\Omega/4\pi = A_{\text{analyse}} \Delta E/(2E) = 20 \text{ cm}^2$
- Ultrahigh vacuum requirements (Background) $p < 10^{-11} \text{ mbar}$
- „simple“ construction: vacuum vessel at HV = electrode + „massless“ screening electrode
- industry study



Pre spectrometer:

- Transmission of electron with highest energy only
(10^{-7} part in last 100 eV)
⇒ Reduction of scattering probability in main spectrometer
⇒ Reduction of background
- only moderate energy resolution required:
 $\Delta E = 50 \text{ eV}$
- Test of new ideas (XHV, shape of electrodes, avoid and remove of trapped particles, ...)

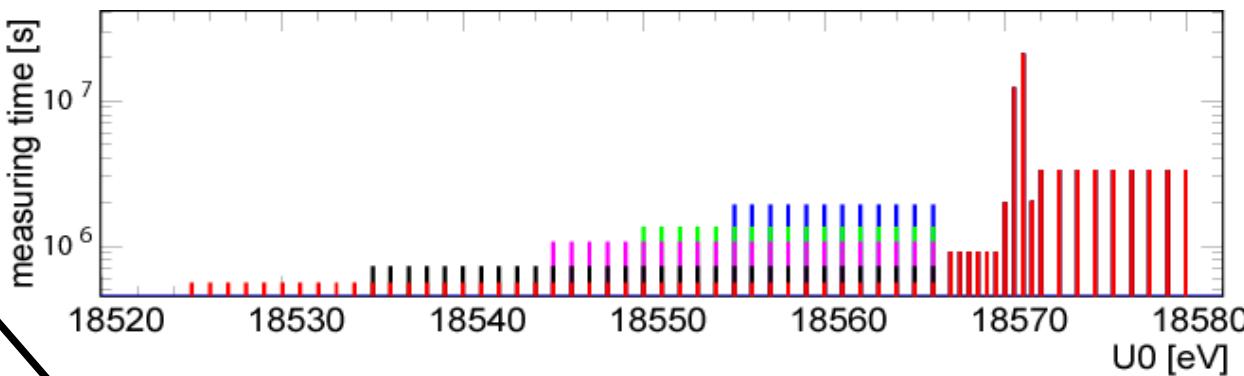
Statistical uncertainty



design optimisation '01 - '03

- tritium purity by tritium laboratory (>95%)
- 2x stronger gaseous source ($\varnothing=75\text{mm} \rightarrow \varnothing=90\text{mm}$)
requires $\varnothing=10\text{m}$ spectrometer)

optimised measuring point distribution (~5 eV below E_0)



- active background reduction by inner electrode system, low background detector
(needs further detailed tests)

Systematic uncertainties

As smaller $m(v)$

as smaller the region of interest below endpoint E_0

⇒ Excited electronic final states does not play a role ($\Delta E_{\text{exc}} > 27 \text{ eV}$)

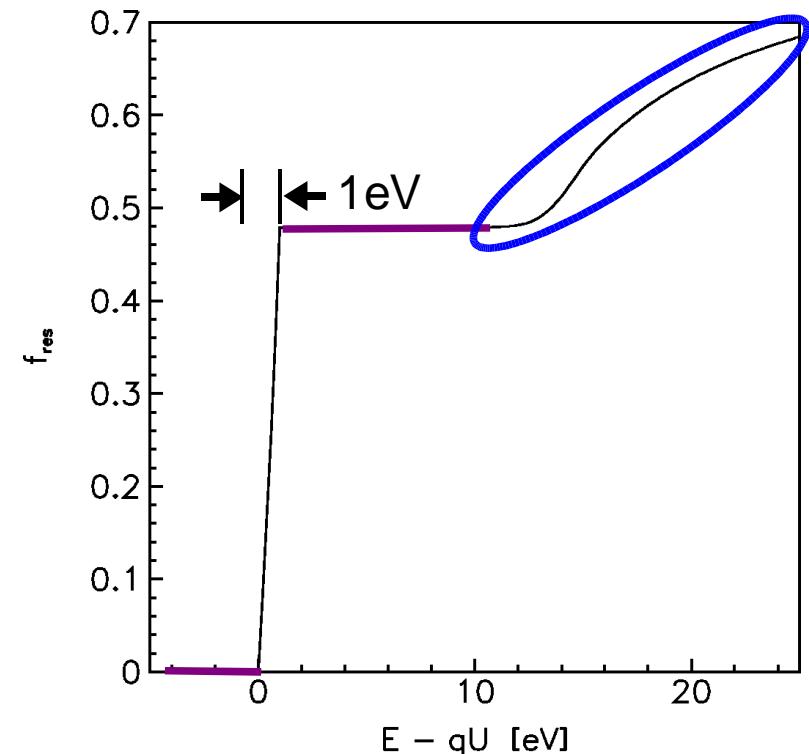
⇒ Inelastic scattering in T_2 is small ($\Delta E_{\text{inel.}} > 12 \text{ eV} \Rightarrow$ largest interval 25eV: 2%)

⇒ One well-defined final state
(similar to cryo detectors)

Is only true, since MAC-E-Filter response function has no tails

Still systematic uncertainties:

inelastic scattering, column density
retarding high voltage
tritium purity
potential in windowless gaseous tritium source



Systematic uncertainties

as a function of energy

⇒ Excited electron scattering plays a role ($\Delta E_{\text{exc.}} > 12 \text{ eV}$)

⇒ Inelastic scattering ($\Delta E_{\text{inel.}} > 12 \text{ eV}$)

⇒ One well-defined peak (similar to CERN)

Is only true, since it has no tails

Still systematic uncertainty from inelastic scattering, retarding high tritium purity, potential in windowless gaseous tritium source

KATRIN's sensitivity (since June 2003):

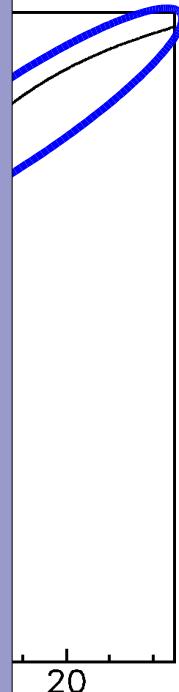
- higher T2 purity
- larger statistics
- optimized measurement point distribution
- smaller systematic uncertainties

$$\Rightarrow \text{sensitivity on } m(\nu_e) \\ \approx 0.20 \text{ eV/c}^2$$

(about equal contribution from stat. and syst. uncertainties)
(90% C.L. upper limit for $m(\nu_e) = 0$)

$m(\nu_e) = 0.30 \text{ eV}$ observable with 3σ

$m(\nu_e) = 0.35 \text{ eV}$ observable with 5σ



Status and schedule of KATRIN

2001 Presentation at Bad Liebenzell Workshop
 Foundation of KATRIN collaboration
 Letter of Intent (hep-ex/0109033)
 First, but significant funds by BMBF, FZ Karlsruhe

2002 Very positive report of International Review Panel

2003 Background investigations at Mainz
 Setup of pre spectrometer at FZK

2004 Reviewing, design report

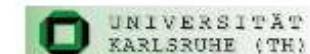
2004 - 2008 Setup of major KATRIN components:
 WGTS, transport system, main spectrometer, detector

2008 Commissioning at start of data taking



university of washington

Fachhochschule Fulda
University of Applied Sciences



bmb+f - Förderorschwerpunkt
Astroteilchenphysik
Großgeräte der physikalischen
Grundlagenforschung

Status of hardware components

October/November 2003

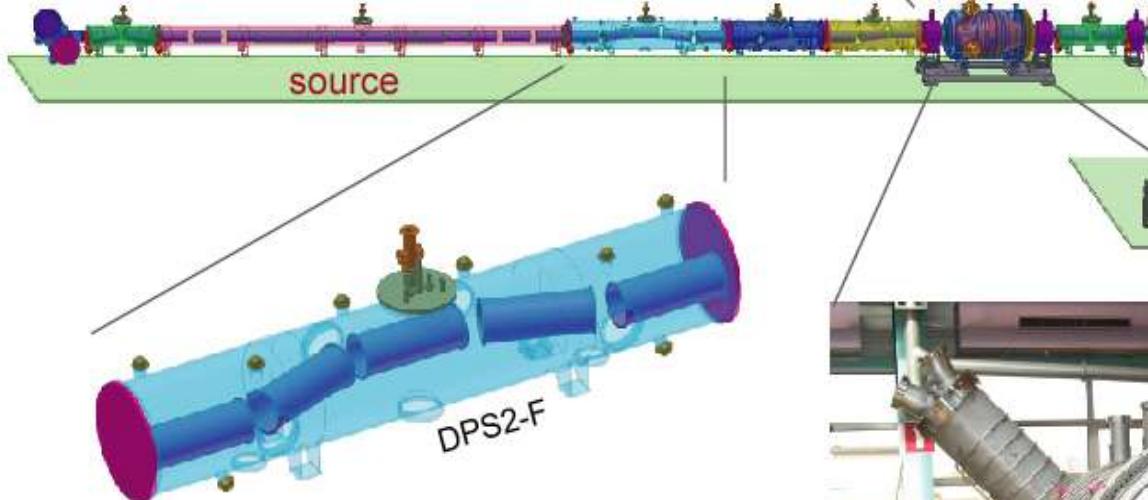
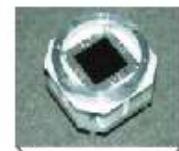
status s.c. magnets:

- 10/03: 2 'cryogenfree'magnets
- 11/03: quench tests



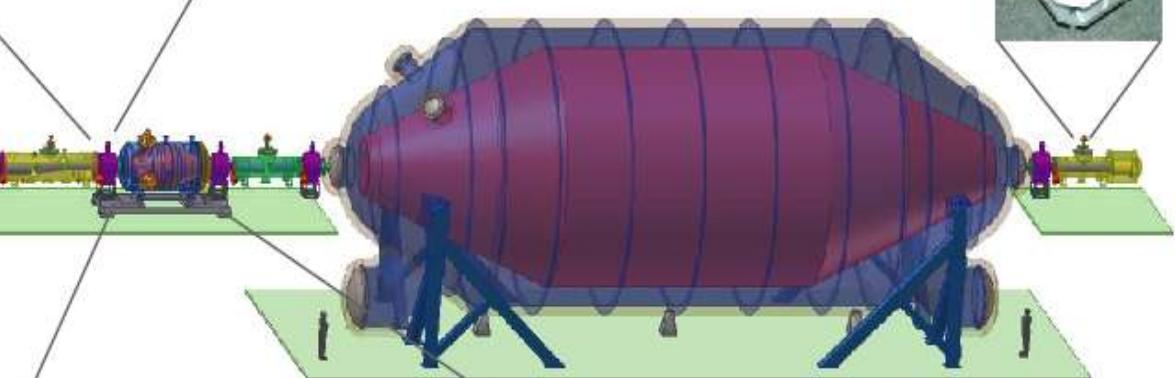
status main spectrometer:

- 04/03 : X-VAT Workshop
- 11/03 : industrial study



differential pumping section:

- 07/03: specification
 - until 10/03: tender
 - 11/03: order
 - 11/03: test T_2 extinction @ 4K (TLK)
- } DPS2-F



status detector:

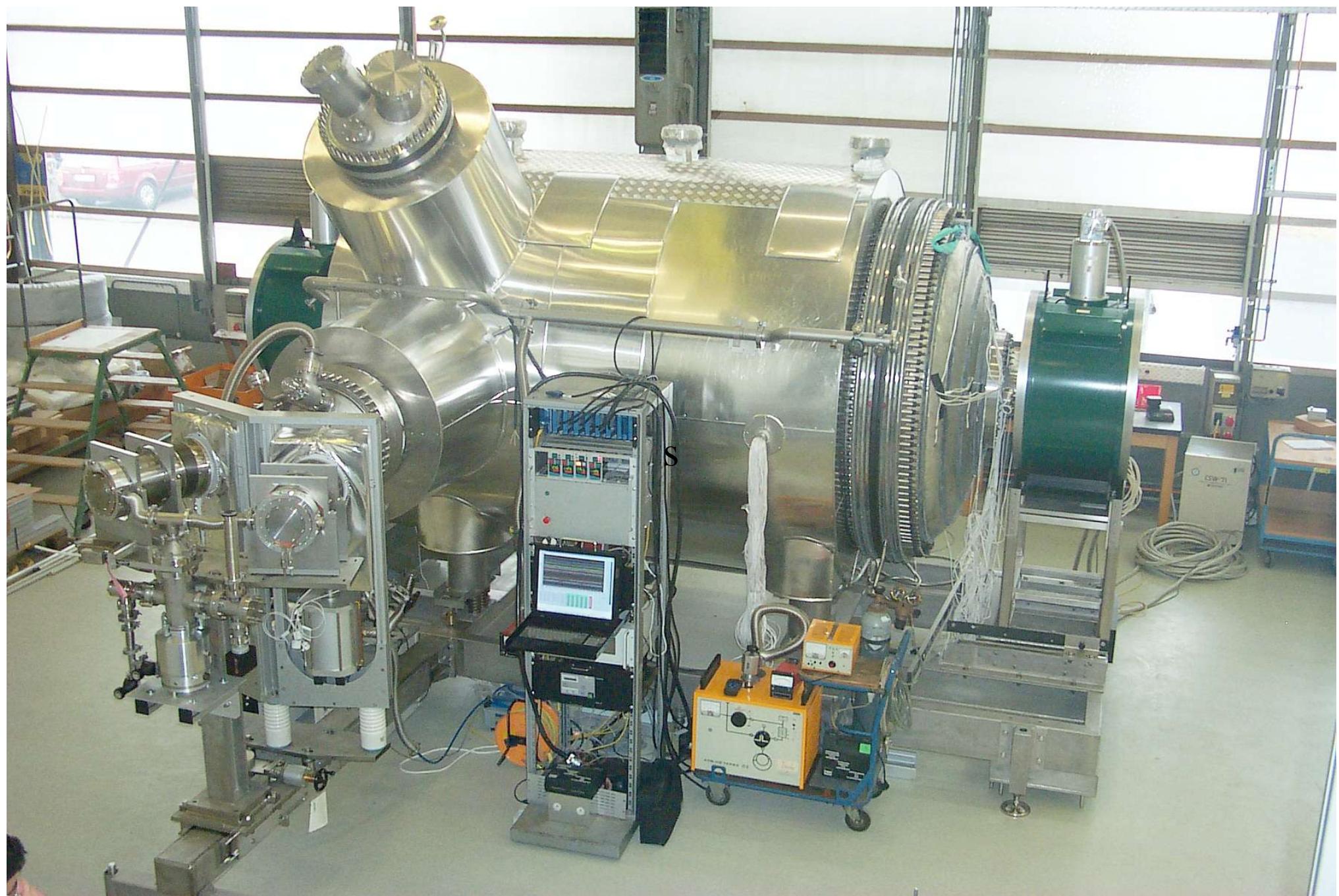
- 10/03: 8x8 Si-PIN diode array prototype tests



status pre-spectrometer:

- 1.10.03: delivery on-site
- 10/03-05/04: vacuum tests
- 06/04-12/04: electromagnet. tests, background studies

Setup of pre spectrometer at FZ Karlsruhe

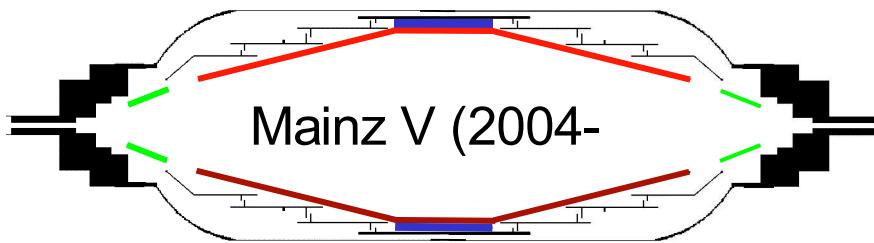
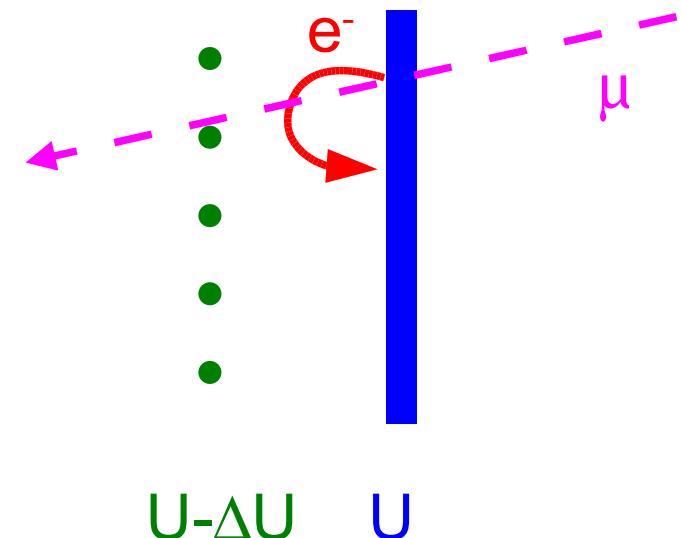


Electric screening by „massless“ wire electrode

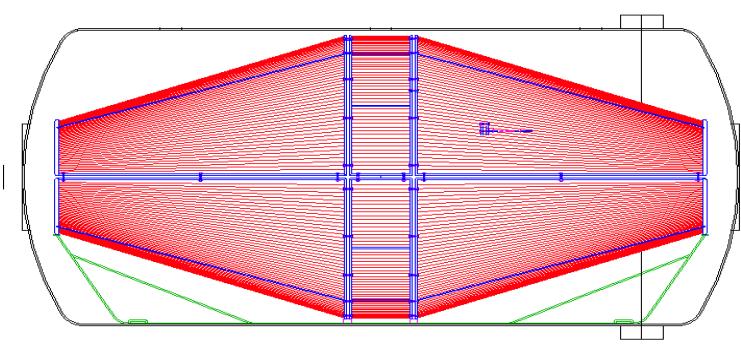
Secondary electrons from wall/electrode
by cosmic rays, environmental radioactivity, ...
wire electrode on slightly more negative potential



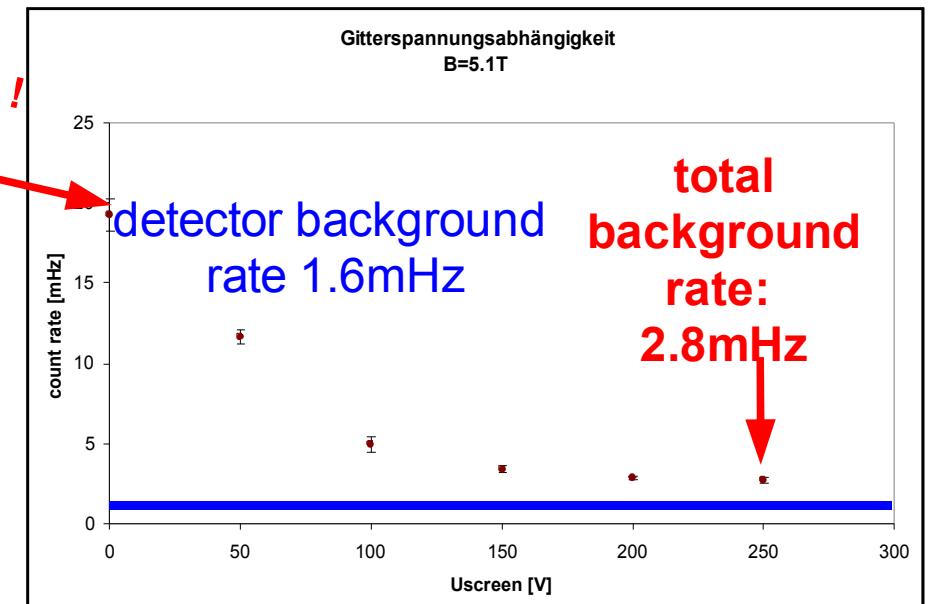
First realisation:
Mainz III



New record!
April 04



KATRIN pre spectrometer



PhD thesis: B. Flatt/Mz

Summary

complementary, need both

Neutrino masses from astrophysics and cosmology:

- now: $\sum m(\nu_i) < 0.7 - 2.2 \text{ eV}$ oder $\sum m(\nu_i) > 0$ (WMAP, 2dF/SDDS, ...)
- in 5-10 years: $\Delta \sum m(\nu_i) \approx 0.1 \text{ eV}$? (Planck, SDSS)
- but always model-dependent

$0\nu\beta\beta$:

- very sensitive, but dependent on phases, mixing, M_{nucl}
- Nemo3, Cuoricino started, signal from Hd-Moscow at $m_{ee} = 0.4 \text{ eV}$?

β endpoint spectrum: only model independent method:

- Cryogenic detectors with Rhenium: fascinating new approach, how far do they go?
- Mainz finished: $m(\nu_e) < 2.3 \text{ eV}$ (95% C.L.)
- KATRIN: A large tritium β neutrino mass experiment with sub-eV sensitivity
 $m(\nu_e) < 0.2 \text{ eV}$ or $m(\nu_e) > 0 \text{ eV}$ (for $m(\nu_e) \geq 0.30 \text{ eV}$ @ 3σ)
⇒ key experiment w.r.t. absolute neutrino mass scale